# **EXHIBIT A**

#### UNITED STATES DISTRICT COURT

#### NORTHERN DISTRICT OF CALIFORNIA

#### SAN FRANCISCO DIVISION

ALEX ANG and LY individually an all others simi	d on behalf of	) ) )	
	Plaintiffs,	)	
vs.		)Case No. )13 Civ. 1196	(WHO)
BIMBO BAKERIES	USA, INC.,	)	
	Defendant.	) )	

#### VOLUME I

#### DEPOSITION of ALEX ANG

February 13, 2015

ANGELICA R. GUTIERREZ, CSR No. 13292 388328

00+1+800 222 1231 Parls





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UNITED STATES DISTRICT COURT
1
                 NORTHERN DISTRICT OF CALIFORNIA
 2
                     SAN FRANCISCO DIVISION
 3
 4
    ALEX ANG and LYNN STREIT,
    individually and on behalf of
 5
    all others similarly situated,
 6
                    Plaintiffs,
 7
                                            ) Case No.
    vs.
                                            )13 Civ. 1196 (WHO)
 8
    BIMBO BAKERIES USA, INC.,
 9
                    Defendant.
10
11
12
                    VIDEOTAPED DEPOSITION OF
13
14
                             ALEX ANG
                             VOLUME I
15
                    SAN FRANCISCO, CALIFORNIA
16
                         FEBRUARY 13, 2015
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    Reported by ANGELICA R. GUTIERREZ, CSR No. 13292
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11:49	1	seal on a lightbulb, so with that seal I assume they
	2	tested the light bulb and rated it and it meets their
	3	standards.
	4	Q. Do you know if the American Heart Association
11:49	5	tested Thomas' Bagel Thins and rated them?
	6	A. No. But I believe they did, since they had
	7	that label on the bag.
	8	Q. Do you know if when Energy Star rates a
	9	product how it does that?
11:50	10	A. Not specifically. But I do know that they
	11	test for the rate of light and they look at the energy
	12	consumption of the bulb, so it meets a certain set of
	13	standard.
	14	Q. Do you know who pays for that testing?
11:50	15	A. Yeah, I believe it's the government, but I'm
	16	not 100 percent.
	17	Q. Do you know who pays for the American Heart
	18	Association to do testing on products?
	19	A. No.
11:50	20	Q. Did you ever do any research into what it
	21	takes for the American Heart to put a check mark on a
	22	product?
	23	A. No.
	24	Q. Do you know what criteria go into the decision
11:50	25	for the American Heart Association to put a Heart-Check
		82

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11:52	1	MR. GOODMAN: Q. What's your understanding of
	2	how the Heart-Check mark got onto the Thomas' Bagel
	3	Thins?
	4	A. Can you repeat that?
11:52	5	Q. Sure. What is your understanding of how the
	6	Heart-Check mark got onto Thomas' Bagel Thins?
	7	A. My understanding now or when I bought them?
	8	Q. Let's start with when you bought them.
	9	A. So I assumed the Heart Association looked at
11:52	10	the bagel, looked at the nutrition facts, and deemed
	11	them worthy of that label.
	12	Q. And now what is your understanding?
	13	A. Now it's my understanding that the label was
	14	paid for and they put on the bag.
11:52	15	Q. Do you have that understanding from any source
	16	other than Mr. Gore?
	17	A. Did I do research on it?
	18	Q. No. Did you have that understanding from any
	19	source other than Mr. Gore?
11:53	20	A. No.
	21	Q. Have you done any research into whether a
	22	company can pay to have a Heart-Check mark put on its
	23	products?
	24	A. No.
11:53	25	Q. Is it your understanding that a Heart-Check

11:53	1	mark can be put on a product no matter what the product
	2	paid for?
	3	A. You know, I'm not really an expert.
	4	Q. I'm not asking for you to be an expert, I'm
11:53	5	asking you for your understanding.
	6	A. No. 'Cause I don't think they would put their
	7	mark on like motor oil.
	8	Q. Why not?
	9	A. Because that has not anything to do with your
11:53	10	heart.
	11	Q. Okay. If they put a Heart-Check mark on a box
	12	of softies or donuts, do you believe that the American
	13	Heart Association put their mark on that box of softies
	14	that Entenmann's paid for it?
11:53	15	A. If they paid for it, yeah, it's my
	16	understanding.
	17	Q. Do you know whether there are any
	18	requirements, other than payment, that a company has to
	19	go through in order to get a Heart-Check mark on its
11:54	20	products?
	21	A. No, I do not.
	22	Q. Have you ever looked at the American Heart
	23	Association website to determine how the Heart-Check
	24	mark system works?
11:54	25	A. No.

	Г	
11:54	1	Q. Have you ever read any documents that tell you
	2	how the American Heart Association Heart-Check system
	3	works?
····	4	A. No.
11:54	5	Q. You're just accepting what Mr. Gore told you?
	6	A. Yes.
	7	Q. Has anybody else told you how the American
	8	Heart Association Heart-Check system works?
	9	A. No.
11:54	10	Q. Do you know anybody who buys products because
	11	they have the American Heart-Check mark on them?
	12	A. No.
	13	Q. When you were buying Thomas' Bagel Thins did
	14	you buy the Bagel Thins because they had the
11:55	15	Heart-Check mark on them?
	16	A. I bought them compared to other bagels because
	17	they had the Heart-Check mark on them.
	18	Q. Did the Safeway brand have the Heart-Check
	19	mark on it?
11:55	20	A. No.
	21	Q. So then why did you buy the Safeway brand?
	22	A. They were probably on sale.
	23	Q. Okay. So you did buy bagels without a
	24	Heart-Check mark on it?
		A. They weren't a requirement for me to purchase

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	-	
11:57	1	Q. How did you eat it?
	2	A. What do you mean by that?
	3	Q. Make a sandwich out of it, did you put jam on
	4	it; how di you consume it?
1:57	5	A. Oh, you know, we ate it the way we eat
	6	crackers, eat them plain or if we had some kind of
	7	spread, use spread on it.
	8	Q. Do you know whether you brought Bimbo's Toast
	9	on more than one occasion, you personally, purchasing
11:58	10	it?
	11	A. Yes.
	12	Q. How many times, roughly?
	13	A. I'd say at least ten.
	14	Q. More than twenty?
11:58	15	A. That would be hard to say.
	16	Q. And do you know whether you bought Bimbo's
	17	Toast last in 2012?
	18	A. You know, I don't recall if I bought it that
	19	year.
11:58	20	Q. How about 2011?
	21	A. Possibly. I don't remember.
	22	Q. How about 2010?
	23	A. It might have been. I don't remember the last
	24	time I bought it.
11:58	25	Q. I'm asking you when you recall the last time
		89

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11:58	1	you bougl	nt it. Do you know for sure you bought it in
	2	2010?	
	3	Α.	You know, I can't really recall.
	4	Q.	Do you know whether you bought it in 2009?
11:59	5	Α.	I don't know.
	6	Q.	Do you know whether you bought any in 2008?
	7	Α.	You know, I'm not sure. But between 2008 and
	8	2010 was	the last time.
	9	Q.	Do you recall buying it between 2008 and 2010?
11:59	10	Α.	Yes.
	11	Q.	When?
	12	Α.	I don't remember.
	13	Q.	Where did you buy it?
	14	Α.	I don't remember, either.
11:59	15	Q.	Did you buy it at Safeway?
	16	A.	Might have been.
	17	Q.	Anything is possible, Mr. Ang?
	18	Α.	It's not one of those everyday items. It's
	19	hard to	recall that far back.
11:59	20	Q.	Do your roommates eat toasted bread?
	21	Α.	If it was in the house they would eat it.
	22	Q.	Yeah. How did they eat it?
	23	A.	Same way, they would snack on it like
	24	crackers	•
12:00	25	Q.	Do you ever buy any other types of toasted
			90

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12:00	1	bread?
	2	A. No.
	3	Q. Ever buy any melba toast?
	4	A. I have bought that.
12:00	5	Q. When was the last time you bought melba toast?
	6	A. It was probably in the last year.
	7	Q. How do you eat melba toast? The same way,
	8	like a cracker?
	9	A. Yeah.
12:00	10	Q. Do you also buy crackers?
	11	A. Not typically.
	12	Q. Are you more likely to buy toasted bread than
	13	crackers to use as a cracker?
	14	A. I probably most likely buy crackers.
12:01	15	Q. What kind of crackers do you typically buy?
	16	A. Just regular saltine crackers.
	17	Q. Any other brand of crackers that you buy?
	18	A. No, not that I can recall.
	19	Q. When was the last time you bought saltine
12:01	20	crackers?
	21	A. Must have been in the last year.
	22	Q. Have you bought saltine crackers pretty
	23	regularly since you were in college?
	24	A. Not regularly. It's something that's usually
12:01	25	in the pantry. We don't go through them that fast.
		91

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12:20	1	product and not consumed it, not eaten it?
	2	A. Possibly, if I bought it and brought it to my
	3	parents' house.
	4	Q. Have you ever had any strike that.
12:20	5	The purpose of your buying Bimbo Bakery
	6	products was to eat them, correct?
	7	A. Yes.
	8	Q. Somebody, whether it's your parents, your
	9	roommates or you, the purpose was for them to be eaten,
12:20	10	correct?
	11	A. Yes.
	12	Q. You've never distributed any Bimbo Bakeries
	13	products, have you?
	14	A. As a gift or something? No.
12:20	15	Q. Have you ever sold any?
	16	A. No.
	17	Q. Have you ever tried to sell any?
	18	A. No.
	19	Q. Have you ever had any Bimbo Bakeries products
12:20	20	seized from you by a federal or state agency?
	21	A. No.
	22	Q. Would it matter to you if you couldn't resell
	23	the food products that you buy?
	24	A. No, I don't sell the food that we buy.
12:21	25	Q. You buy food to eat it?
١.		99

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12:21	1	Α.	Yes.
	2	Q.	Have you ever read the FDA's October 2009
	3	guidance	for industry letter?
	4	Α.	No.
12:21	5	Q.	And have you ever read the March 2010 open
	6	letter to	o industry from the FDA?
	7	Α.	No.
	8	Q.	Have you ever read any FDA publications?
	9	А.	Not that I recall.
12:21	10	Q.	Are you familiar with food labeling
	11	requireme	ents under federal law?
	12	A.	No.
	13	Q.	Are you familiar with food labeling
	14	requirem	ents under California law?
12:21	15	Α.	No.
	16	Q.	Have you familiar with any food labeling
	17	requirem	ents under any state laws?
	18	A.	No.
	19	Q.	Do you know what a nutrient is?
12:22	20	A.	Yes.
	21	Q.	What is a nutrient?
	22	Α.	A substance that would provide nutrients to
	23	your bod	y. So calcium, for example.
	24	Q.	Can you think of any other nutrients besides
12:22	25	calcium?	
			100

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12:43	1	A. I don't know how that would be classified,
	2	either.
	3	Q. Have you ever bought any products that were
	4	labeled an excellent source of whole grains because
12:43	5	they were labeled that?
	6	A. Yeah. If a product said that and a comparable
	7	product didn't I would lean towards them.
	8	Q. Do you recall doing that?
	9	A. Yeah, I believe so.
12:44	10	Q. Which products would you buy that said an
	11	excellent source of whole grains on them?
	12	A. I believe it was Sara Lee bread.
	13	Q. Any others?
	14	A. Not that I can recall.
12:44	15	Q. What product were you comparing the Sara Lee
	16	bread to that made you choose the Sara Lee bread?
	17	A. I don't remember specifically, but it was
	18	either the house brand bread or another brand.
	19	Q. So would you always pick the Sara Lee bread
12:44	20	that claimed to be an excellent source of whole grain
	21	over the house bread if it didn't make that claim?
	22	A. I wouldn't say always, but it would sway me in
	23	that direction.
	24	Q. What do you mean, it would sway you in that
12:44	25	direction?

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	Г			
01:19	1	Q. In this document numbered 2, on page number 1,		
	2	it says: Plaintiffs have in their possession, custody		
	3	or control packaging related to protects identified in		
	4	the second amended complaint. Do you have any such		
01:20	5	packaging in your possession, custody or control?		
	6	A. No.		
	7	Q. Your lawyer handed me, this morning, an		
	8	envelope stuffed I can't think of a better word,		
	9	show that to the camera, with it looks like labels		
01:20	10	of various products packaged as it's addressed to		
	11	Pierce Gore at the address that we're at today, it says		
	12	it's from Alexander Ang on 20th Avenue, San Francisco,		
	13	California; do you recall sending this page to		
	14	Mr. Gore?		
01:20	15	A. Yes.		
	16	Q. When did you do that?		
	17	A. Must have been around March 2013. I don't		
	18	know the exact date.		
	19	Q. Why do you say it must have been March 2013?		
01:21	20	A. Because I sent it over when I first started		
	21	Q. Okay. There's no postmark on this. Did you		
	22	put it in the mail?		
	23	A. Yes.		
	24	Q. I assume you don't know why there's no		
01:21	25	postmark on it.		
		142		

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02:34	1	Α.	The thing is I didn't know it contained it
	2	when I p	urchased it.
	3	Q.	I'm asking you if you would have cared.
	4	A.	If I knew?
02:34	5	Q.	Yes.
	6	A.	If I knew I probably would care.
	7	Q.	There was a way for you to know, right, you
	8	could ha	ve read the ingredients.
	9	А.	But I didn't.
02:34	10	Q.	Right. So if you cared you could have read
	11	the ingr	edients, right?
	12	A.	Yes, I could have.
	13	<b>Q</b> .	But you didn't?
	14	Α.	Right.
02:35	15	Q.	You see on the bottom it says in bold:
	16	Contains	wheat, soy and milk. Do you see that?
	17	Α.	Yes.
	18	Q.	Did you care that it contained wheat, soy and
	19	milk at	the time you purchased this product?
02:35	20	Α.	Not at the time.
	21	Q.	Do you care now?
	22	Α.	Yeah, I do care now.
	23	Q.	Why?
	24	A.	You know, I would try to stay away from
02:35	25	somethin	g with high fructose corn syrup in it.
			165

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02:36	1	lecithin in it would you have bought it?
	2	A. I don't know what it is.
	3	Q. Do you know what soy flour is?
	4	A. I'm assuming it's flour made out of soy.
02:36	5	Q. If you knew that this product had soy flour in
	6	it would you have bought it?
	7	A. Yeah, that wouldn't have stopped me from
	8	buying it.
	9	Q. Next page. Picture of the other side of the
02:36	10	bread bag where it says: Facts based on two slices of
	11	bread.
	12	Do you recall seeing this information on the
	13	Sara Lee Whole Wheat Bread label?
	14	A. No.
02:37	15	Q. Are you looking at the same thing I am?
	16	A. No, I'm not.
	17	Q. Should be on the page that says: Facts based
	18	on two slices of bread.
	19	Green with circles.
02:37	20	A. Yeah.
	21	Q. What are those circles have the calories;
	22	did you look at that?
	23	A. Yes.
	24	Q. Did you look at this circle with the calories?
02:37	25	A. Yes.
		167

02:39	1	Q. Based on two slices, Mr. Ang, if you can see,
	2	if you read the package.
	3	A. I'd say if it was over 20.
	4	Q. 20 grams?
02:39	5	A. Yeah.
	6	Q. Okay. Are you familiar with any sandwich
	7	bread that has over 20 grams of sugar per two slices?
	8	A. No.
	9	Q. How about the fiber content, is that enough
02:39	10	fiber for you to buy this product?
	11	A. That didn't determine whether I bought it or
	12	not.
	13	Q. What about sodium, did that determine whether
	14	you bought it or not?
02:39	15	A. No.
	16	Q. What about the whole grain, did that determine
	17	whether you bought it or not?
	18	A. No.
	19	Q. Do you know whether 28 grams of whole grain is
02:40	20	a lot?
	21	A. I don't know if that's a lot.
	22	Q. Is there a particular number of whole grains
	23	that you're looking for in bread?
	24	A. Not in particular.
02:40	25	Q. Is there a minimum amount of whole grain you
		170

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02:41	1	Q. Did you read the label for these Thomas' Mini	
	2	Bagels other than just seeing if it was a Thomas' Mini	
	3	Bagel?	
	4	A. You know, I can't recall if I did or not.	
02:41	5	Q. Okay. Looking at the pictures does that	
	6	refresh any recollection you had of looking at any part	
	7	of that label?	
	8	A. No.	
	9	Q. Okay. Next set of pictures is for Sara Lee	
02:41	10	Classic 100 percent Whole Wheat. Do you recognize this	
	11	label?	
	12	A. Yes.	
	13	Q. How do you recognize this label?	
	14	A. I have purchased this product before.	
02:41	15	Q. And why did you purchase this product?	
	16	A. 'Cause I like the taste and it was wheat bread	
	17	as opposed to white bread.	
	18	Q. And the price?	
	19	A. And the price.	
02:42	20	Q. Were there any particular parts of this label	
	21	that you looked at before you bought it?	
	22	A. I looked at the front label.	
	23	Q. One on the first page?	
	24	A. Yes.	
02:42	25	Q. Okay. Any other parts?	
		172	

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02:42	1	A. N	Not that I recall.
	2	Q. W	hat on the front label in particular did you
	3	look at?	
	4	A. I	looked at the entire front label.
02:42	5	Q. N	Nothing in particular?
	6	A. N	Jo.
·	7	Q. D	oid you check out the calories and
	8	carbohydra	tes in this product?
	9	A. I	don't remember if I did or not.
02:43	10	Q. C	on the third page there's a 100 percent whole
	11	grain stic	ker or mark; do you see that?
	12	A. Y	es.
	13	Q. D	o you recognize that sticker or mark?
	14	A. N	lo.
02:43	15	Q. N	Wever seen that before, to your knowledge?
	16	A. D	oesn't look familiar.
	17	Q. D	o you know whether something that provides 28
	18	or more gr	ams per serving of whole grain is a good
	19	source of	whole grains if the Whole Grain Counsel
02:43	20	recommends	48 grams or more of whole grains in a day?
	21	A. N	o, I wouldn't know if you could classify that
	22	as good.	
	23	Q. D	o you know whether, in your mind, as a
	24	consumer,	something that provides more than 50 percent
02:44	25	of a subst	ance is a good source of that substance?

02:47	1	A. Yes.
	2	Q. All right. I already asked you about the
	3	artificial color. If you had known it had artificial
	4	color you still would have bought that?
02:48	5	A. Yes.
	6	Q. On the second to the last plage, Mr. Ang,
	7	there's a red sticker that says: Caution, do not
	8	microwave.
	9	A. Okay.
02:48	10	Q. Do you recall seeing that on Bimbo Toasted
	11	Bread products?
	12	A. Yeah, I have seen that before.
	13	Q. Have you ever microwaved Bimbo Toasted Bread?
	14	A. No.
02:48	15	Q. Why not?
	16	A. It's like do you microwave your crackers?
	17	Q. Do you know why you can't microwave Bimbo
	18	Toasted Bread?
	19	A. I don't know.
02:49	20	Can you tell me, now that you brought that up?
	21	Q. I'll take you out for a beer some time. I
	22	don't answer questions in my own depositions.
	23	Next set of pictures is Thomas' Bagel Thins,
	24	Plain. Do you recognize this package?
02:49	25	A. Yes.

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02:50	1	Do you recognize this product?
	2	A. Yes.
	3	Q. Okay. And how do you recognize this product?
	4	A. I have brought this product.
02:51	5	Q. You understand this to be white bread?
	6	A. Yep.
	7	Q. I don't think you identified any Sara Lee
	8	white bread products in your previous identification of
	9	numerous products that you bought.
02:51	10	A. Yeah, it's not something that I purchase
	11	regularly, but I have purchased it.
	12	Q. Okay. Do you know when you purchased this
	13	product?
	14	A. I don't recall.
02:51	15	Q. Do you recall how much you paid for it?
	16	A. No.
	17	Q. Do you recall what store you bought this
	18	product at?
	19	A. I don't know.
02:51	20	Q. Do you recall in what year you might have
	21	bought this product?
	22	A. I don't remember.
	23	Q. Why did you buy this product?
	24	A. You know, I've had this product before, so I
02:51	25	knew what it tasted like and I can't say for sure,

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	02:51	1	but it was probably a good deal at the time of
ı		2	purchase.
		3	Q. Good deal, good price?
		4	A. Compared to something else.
ı	02:52	5	Q. You say you've had it before, you mean when
l		6	you were a kid?
		7	A. Yes.
		8	Q. Do you recall the first time you ever had Sara
		9	Lee Soft and Smooth Bread?
	02:52	10	A. No.
		11	Q. When you bought this product do you recall
		12	looking at any parts of the label other than the fact
		13	that it was Sara Lee Soft and Smooth and you'd had it
		14	before?
	02:52	15	A. I looked at the front of the label. I can't
		16	say that I really checked out the back.
		17	Q. But do you know if you looked at any
		18	particular parts of the front label?
		19	A. Not in particular, I just looked at the whole
	02:52	20	front label.
		21	Q. You mean you just saw it?
		22	A. No, I read it.
		23	Q. What were you reading, in particular?
		24	A. I mean there's not a lot here, so everything,
	02:52	25	you know, from Sara Lee moving down to excellent source

02:53	1	A. No.
	2	Q. Do you remember comparing this to Safeway's
	3	bread and determining whether it was a better source of
	4	whole grain?
02:53	5	A. No. I just took it for face value on the
	6	front of the package.
	7	Q. Do you know what made with 35 percent whole
	8	grain means?
	9	A. No. I would assume it just means 35 percent
02:54	10	of the flour they use is whole grain flour.
	11	Q. 35 percent of the wheat flour they use is
	12	whole grain flour?
4	13	A. The flour in general is whole grain.
	14	Q. Any flour in general? What other flour goes
02:54	15	into wheat bread?
	16	A. In the 100 percent whole wheat bread there's
	17	actually soy flour in it.
	18	Q. That's not a grain though, is it?
	19	A. No.
02:54	20	Q. Do you know what other grain flour goes into
	21	wheat bread?
	22	A. No.
	23	Q. You can see in this set of pictures on the
	24	second page, first ingredient is enriched bleached
02:54	25	wheat flour. Do you know if that is whole wheat flour?
		182

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02:55	1	A. I don't know.
	2	Q. Do you know if that's a type of flour?
	3	A. I would assume so.
	4	Q. On the next page it says whole grain. If it's
02:55	5	whole wheat flour, whole barley flour, do you know what
	6	whole wheat flour is?
	7	A. I'm assuming it's flour made out of whole
	8	wheat.
	9	Q. Do you know what barley flour is?
02:55	10	A. Flour made from barley.
	11	Q. Do you know if barley is a grain?
	12	A. I believe it is.
	13	Q. If this didn't have any whole grain would you
	14	still have bought it?
02:55	15	A. For this, yes, I was looking for white bread.
	16	Q. Do you recognize the last set of pictures as
	17	Entenmann's Soft Wheat Bread?
	18	A. I've seen it before.
	19	Q. You've never bought it, though?
02:56	20	A. Not that I recall.
	21	Q. You seen it before, but there's box sitting in
	22	front of you, right?
	23	A. I've seen in the store, though. I guess
	24	people bring it into work.
02:56	25	Q. Right. Have you ever eaten an Entenmann's
		183

02:59	1	A. I reviewed the front part of the bag.
	2	Q. And you're gesturing toward the can you
	3	just hold it up and point to it, please? Thank you.
	4	So basically this corresponds to the second
03:00	5	and third pages of Exhibit 20; is that fair?
	6	A. Yes.
	7	Q. And, again, other than the fact that it says
	8	Sara Lee 100 Percent Whole Wheat Bread, was there
	9	anything that you relied on in making a purchase of
03:00	10	this product?
	11	A. Not in terms of making my purchasing decision.
	12	Q. Before we move on, did any of the Sara Lee
	13	100 Percent Whole Wheat Bread that you bought have this
	14	labeling on it?
03:01	15	A. Yes.
	16	Q. You looked at the bag and made sure that it's
	17	the same bag that you purchased?
	18	A. Yes.
	19	MR. GOODMAN: I'm trying to keep this stuff in
03:02	20	order here.
	21	Mark that one next, please.
	22	COURT REPORTER: This is 21.
	23	(Exhibit 21 was marked for
	24	identification.)
03:02	25	MR. GOODMAN: Q. We've marked as Exhibit 21 a

	ı	The state of the s
03:05	1	Q. Okay. One difference I can tell you between
	2	the weapon the two labels is in the ingredients; one
	3	has soy flour and one doesn't.
:	4	A. Okay.
03:05	5	Q. Do you remember whether the bread you bought
	6	had an ingredient of soy flour versus not having an
	7	ingredient of soy flour?
	8	A. No. Like I said, when I was purchasing it I
	9	wasn't going through the ingredient list.
03:05	10	Q. So you're not sure which label the bread that
	11	you bought had?
	12	A. Yes.
-	13	MR. GOODMAN: I'll take that back.
	14	Is that 23?
03:06	15	COURT REPORTER: Yes.
	16	(Exhibit 23 was marked for
	17	identification.)
	18	MR. GOODMAN: Q. And with regard to
	19	Exhibit 23, another label, this one for 16 Ounce Sara
03:06	20	Lee Classic 100 Percent Whole Wheat. Did you ever buy
	21	any product with this particular label on it?
	22	A. Again, this looks pretty similar to Exhibit
	23	21, so I couldn't tell you for certain if it was this
	24	label or that label.
03:06	25	Q. And by this label you mean what we've marked
	- 1	

03:14	1	Q. Okay. So Exhibit 21 is a Sara Lee 100 Percent
	2	Whole Wheat Bread, correct?
	3	A. Correct.
	4	Q. This one is Sara Lee Soft and Smooth 100
03:14	5	Percent Whole Wheat, correct?
	6	A. Correct.
	7	Q. Okay. Those are two different labels, would
	8	you agree with me on that one?
	9	A. I think they are pretty similar.
03:15	10	Q. Okay. I'm not asking if they are similar, I'm
	11	asking if they are different labels.
	12	A. Yeah, they are different.
	13	Q. So my question to you is not again, I'm
	14	trying to make it clear, not whether you've seen these
03:15	15	statements or these colors or these words before, but
	16	whether you've seen this exact label on the product
	17	that you brought.
<b>-</b>	18	A. Yeah, I've seen this label.
	19	Q. So you've bought Sara Lee Soft and Smooth 100
03:15	20	Percent Whole Wheat Bread, correct?
	21	A. I'm not sure if I bought this package, but I
	22	have seen this label.
	23	Q. Okay. When you've seen this label where did
	24	you see it?
03:15	25	A. On a loaf of bread.

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03:15	1	Q. Where was the loaf of bread?
	2	A. In a grocery store.
	3	Q. Which store?
	4	A. I don't recall exactly.
03:15	5	Q. Do you remember when you saw it?
	6	A. No.
	7	Q. Do you remember what year it was?
	8	A. No.
	9	Q. Do you remember if it was in the last two
03:15	10	years?
	11	A. No, I don't remember.
	12	Q. Do you have a specific recollection of looking
	13	at the label that we've marked as Exhibit 25?
	14	A. No.
03:16	15	Q. Do you have a specific recollection of looking
	16	at any particular parts of the label that we've marked
	17	as Exhibit 25?
	18	A. No.
	19	Q. Do you have any proof of purchase of any Sara
03:16	20	Lee Soft and Smooth Bread?
	21	A. Not that I can think of.
	22	Q. Do you have any proof of purchase of any Sara
	23	Lee bread product at all?
	24	A. No, 'cause I haven't bought them since 2013.
03:16	25	Q. And you haven't saved any proof of purchase
		195

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03:38	1	Q. Do you know whether Bimbo Bakeries has ever
	2	represented its goods as having a sponsorship that it
	3	did not have?
	4	A. No, not that I know of.
03:39	5	Q. Do you know whether Bimbo Bakeries has ever
	6	represented any of its good to have approval that it
	7	did not have?
	8	A. No, not that I know of.
	9	Q. Do you know whether Bimbo Bakeries has ever
03:39	10	represented that its goods had characteristics that it
	11	did not have?
	12	A. Yeah, it was the 100 percent whole wheat claim
	13	on bread.
	14	Q. And how do you know that the characteristic
03:39		Q. And how do you know that the characteristic was not true?
03:39		
03:39	15	was not true?
03:39	15 16	was not true?  A. I found that out through Mr. Gore.
03:39	15 16 17	was not true?  A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether
03:39	15 16 17 18	A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what
	15 16 17 18 19	was not true?  A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what Mr. Gore told you?
	15 16 17 18 19 20	A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what Mr. Gore told you?  A. No, not independently.
	15 16 17 18 19 20 21	A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what Mr. Gore told you?  A. No, not independently.  Q. Do you know why that characteristic is untrue?
	15 16 17 18 19 20 21 22	A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what Mr. Gore told you?  A. No, not independently.  Q. Do you know why that characteristic is untrue?  A. It's because it doesn't have 100 percent whole
	15 16 17 18 19 20 21 22 23	A. I found that out through Mr. Gore.  Q. Have you ever undertaken to determine whether that characteristic was untrue, other than what Mr. Gore told you?  A. No, not independently.  Q. Do you know why that characteristic is untrue?  A. It's because it doesn't have 100 percent whole wheat flour, it also contains soy flour.

03:42	1	aware of that Bimbo sells that make that quantity claim
	2	that is not actually accurate?
	3	A. No.
	4	Q. So my next question was are you aware that
03:42	5	Bimbo ever represented their goods were of a particular
	6	standard?
	7	A. Yeah. The Heart Association logo, you know, I
	8	was under the assumption that the American Heart
	9	Association had, you know, reviewed the product and put
03:42	10	a seal of approval on it.
	11	Q. Do you know if the American Heart Association
	12	did not review a Bimbo product and yet the Bimbo
	13	product still has a seal of approval on it?
	14	A. I was told that the seal was actually, you
03:43	15	know, something that Bimbo did purchase.
	16	Q. That's not my question, Mr. Ang. My question
	17	is do you know whether Bimbo's products have a seal of
	18	approval on them from the American Heart Association
	19	but do not meet the standards of the American Heart
03:43	20	Association?
	21	A. They have the American Heart Association seal,
	22	but I don't know what the standards are.
	23	Q. That's not my question either.
	24	Do you know whether they have a any of the
03:43	25	Bimbo products that have an American Heart Association

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03:43	1	check mark, mark on them, do not actually meet the
	2	American Heart Association standards to have such a
	3	mark on them?
	4	A. I don't know that.
03:43	5	Q. Do you know whether Bimbo has ever represented
	6	any of its goods as having a quality that they do not
	7	have?
	8	A. The toasted bread is more of a cracker, it's
	9	not really toasted bread.
03:44	10	Q. Did you think it was toasted bread when you
	11	bought it?
	12	A. Yeah.
	13	Q. You thought you were buying toast?
	14	A. I didn't think I was buying toast, but I
03:44	15	thought I was buying something that started off light
	16	colored, got toasted and got darker.
	17	Q. You bought toasted bread because you ate it as
	18	a kid, right?
	19	A. Yeah.
03:44	20	Q. So you knew when you bought toasted bread that
	21	you weren't buying toasted bread, you were buying a
	22	cracker, right?
	23	MR. GORE: Objection. Argumentative.
İ	24	MR. GOODMAN: I'm not arguing at all.
03:44	25	THE WITNESS: What was the question again?
ĺ		210

	•	_	
	03:44	1	MR. GOODMAN: Read it back, please.
١		2	(Record read.)
١		3	MR. GORE: Same objection.
		4	MR. GOODMAN: You don't have to object to the
	03:44	5	question when you read it back.
		6	THE WITNESS: I knew it wasn't toast, but I
		7	assumed it was, you know, it started out soft and got
		8	toasted and got hard, in the package.
		9	MR. GOODMAN: Q. Why did you make that
	03:45	10	assumption?
		11	A. Because it was called toasted bread.
		12	Q. Any other reasons?
		13	A. No.
		14	Q. Did you read the ingredients in the toasted
	03:45	15	bread to determine how it got to be toasted bread?
		16	A. Not as a kid.
		17	Q. Did you read it as an adult?
		18	A. No, because I was familiar with the product.
		19	Q. When you were purchasing the product did you
	03:45	20	believe that it was toasted bread or did you believe it
		21	was a cracker? Not when you were a kid but when you
		22	were an adult?
		23	A. To be honest, I never really thought about it.
		24	It was something that I already bought.
	03:45	25	Q. So you knew what the product was and you

	03:58	1	something that is labeled with what it actually is.
		2	Q. Have you ever knowingly purchased a misbranded
		3	food product?
		4	MR. GOODMAN: Calls for speculation.
	03:59	5	THE WITNESS: No, not knowingly.
Ì	i	6	MR. GORE: Q. How did the labels on the Bimbo
ı		7	Bakeries products that you purchased affect your
		8	purchase decision?
		9	MR. GOODMAN: Lacks foundation.
I	03:59	10	THE WITNESS: You know, I figured they were
		11	healthier, so it was down towards the, you know,
		12	100 percent whole wheat, excellent source of whole
		13	grains on the packaging, rather than the store brand.
		14	It was a little bit more
	03:59	15	MR. GORE: Q. Were the portions of the label
		16	that you're complaining about in this lawsuit, were
		17	they a substantial factor in your purchase decisions?
		18	MR. GOODMAN: Vague and ambiguous. Lacks
		19	foundation.
	03:59	20	THE WITNESS: Yes, I would say so.
		21	MR. GORE: Q. Can you briefly describe the
		22	time period, to the best of your recollection, when you
		23	stopped buying Bimbo Bakeries' products?
		24	A. That would be March of 2013.
	04:00	25	Q. And why did you stop buying Bimbo Bakeries'

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04:06	1	label on this package did you read and rely on when you
	2	were purchasing this product?
	3	MR. GOODMAN: Asked and answered, several
	4	times.
04:06	5	THE WITNESS: The brand. They were Bagel
	6	Thins. They were plain. The package count and also
	7	the Heart Association sticker.
	8	MR. GORE: Q. And what is your specific
	9	complaint in this case concerning the label on this
04:06	10	package?
	11	MR. GOODMAN: Vague and ambiguous.
	12	THE WITNESS: I was under the assumption that
	13	the Heart Association actually did testing
	14	in comparison testing and comparing it to other
04:06	15	similar products on the market; more heart-healthier
	16	than others.
	17	MR. GORE: Q. What understanding, if any, do
	18	you have about whether the logo that appears on this
	19	package is a paid endorsement?
04:07	20	A. I understand now that it's a paid endorsement,
	21	they did not go through any sort of certification.
	22	Q. Did can you point to any portion of the label
	23	on this package that discloses that the Heart-Check
	24	logo is a paid endorsement?
04:07	25	A. No, I don't see that.

04:08	1	foundation.
	2	THE WITNESS: Yes.
	3	MR. GORE: Q. Did you purchase this product
	4	for your own consumption or because someone told you to
04:08	5	go out and buy it?
	6	MR. GOODMAN: Same objection.
	7	THE WITNESS: Personal consumption.
	8	MR. GORE: Q. On approximately how many
	9	occasions, between 2009 and 2013, did you purchase this
04:08	10	product for personal consumption?
	11	MR. GOODMAN: Asked and answered. Lacks
	12	foundation. Calls for speculation.
	13	MR. GORE: Q. Go ahead.
	14	A. I'd say close to thirty or forty.
04:08	14 15	A. I'd say close to thirty or forty.  Q. Okay. Could you please tell us the particular
04:08		
04:08	15	Q. Okay. Could you please tell us the particular
04:08	15 16	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read
04:08	15 16 17	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?
04:08	15 16 17 18	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and
	15 16 17 18	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and answered.
	15 16 17 18 19 20	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and answered.  THE WITNESS: Sara Lee brand and 100 percent
	15 16 17 18 19 20 21	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and answered.  THE WITNESS: Sara Lee brand and 100 percent whole wheat.
	15 16 17 18 19 20 21	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and answered.  THE WITNESS: Sara Lee brand and 100 percent whole wheat.  MR. GORE: Q. What is your specific complaint
	15 16 17 18 19 20 21 22 23 24	Q. Okay. Could you please tell us the particular section on this label, on this package, that you read and relied on when you were purchasing this product?  MR. GOODMAN: Lacks foundation. Asked and answered.  THE WITNESS: Sara Lee brand and 100 percent whole wheat.  MR. GORE: Q. What is your specific complaint concerning the label on this package?

04:16	1	MR. GOODMAN: You can answer.
	2	THE WITNESS: Oh, those packages, those were
	3	for personal consumption.
	4	I did send him other packages.
04:16	5	MR. GOODMAN: Q. You sent him other packages?
	, 6	A. Yeah. I sent him other food labels that are
	7	not here right now.
	8	Q. Where are those food labels?
	9	A. I have no idea.
04:16	10	Q. Okay. Do you know what products those food
	11	labels were for?
	12	A. I don't recall off the top of my head.
	13	Q. I'm showing you this Sara Lee Soft and Smooth
	14	package that Mr. Gore showed you. How do you know this
04:16	15	is the package that you sent to him?
	16	A. I don't know. I can't verify. I didn't
	17	initial it or anything.
	18	Q. How about the Sara Lee Classic, how do you
	19	know that this is the package that you sent to him?
04:17	20	A. Same thing. You know, I sent them all in a
	21	package. I didn't initial them so I can't really
	22	prove
	23	Q. Same thing for all of these, correct?
	24	A. Correct.
04:17	25	Q. Do you recall buying this 100 percent whole
	į	236

04:21	1	Q. What did Mr. Gore tell you about how these
	2	products are mislabeled?
	3	MR. GORE: Objection. Attorney-client
	4	privilege. I will instruct you not to answer that
04:21	5	question.
	6	MR. GOODMAN: Q. Will you take that
	7	instruction, Mr. Ang?
	8	A. Yes.
	9	Q. Is there anything you can tell me about how
04:21	10	these products are mislabeled without revealing
	11	Mr. Gore's advice to you?
	12	A. No.
	13	Q. Would you refuse to answer any questions about
	14	how you know that these products are mislabeled?
04:21	15	A. Yes.
	16	Q. You said that, in response to one of
	17	Mr. Gore's questions, that you understand that the
	18	heart Mark is a paid endorsement; how do you have that
	19	enforcement?
04:21	20	A. Through my talking with Mr. Gore.
	21	Q. And what is your understanding as to how the
	22	AAH mark is a paid endorsement?
	23	MR. GORE: Objection. Vague. Calls for
	24	speculation.
04:22	25	You can answer.

04:22	1	MR. GOODMAN: Q. I'm asking for his
	2	understanding.
	3	A. My understanding is it's something that's paid
	4	for, but not tested and certified by the American Heart
04:22	5	Association.
	6	Q. If the product were tested and certified,
	7	would that change your understanding?
	8	MR. GORE: Objection. Incomplete
	9	hypothetical. Calls for an expert opinion.
04:22	10	You may answer.
	11	THE WITNESS: Say that question again.
	12	MR. GOODMAN: Q. Sure. If the product were
	13	tested and certified by the AHA would it change your
	14	understanding that it's misbranded?
04:22	15	MR. GORE: Same objection.
	16	THE WITNESS: Yes.
	17	MR. GOODMAN: Q. I don't have anything
	18	further.
	19	EXAMINATION
04:22	20	MR. GORE: Q. Just a few more.
	21	Mr. Ang, on the package of the Bimbo Toasted
	22	Bread, do you see a date that is identified on the
	23	package as a best-before date?
	24	A. Yes.
04:23	25	Q. And what is that date?
		242

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DEPOSITION OFFICER'S CERTIFICATE
 1
 2
 3
    STATE OF CALIFORNIA
                               ss.
    COUNTY OF CONTRA COSTA
 4
 5
             I, Angelica R. Gutierrez, hereby certify:
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             I am a duly qualified Certified Shorthand
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    Reporter in the State of California, holder of
 8
 9
    Certificate Number 13292 issued by the Court
    Reporters Board of California and which is in full force
10
                  (Fed. R. Civ. P. 28(a)).
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    and effect.
              I am authorized to administer oaths or
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    Procedure, Section 2093(b) and prior to being examined,
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    the witness was first duly sworn by me. (Fed. R. Civ.
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    P. 28(a), 30(f)(1)).
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             I am not a relative or employee or attorney or
17
    counsel of any of the parties, nor am I a relative or
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    employee of such attorney or counsel, nor am I
    financially interested in this action. (Fed. R. Civ. P.
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    deposition and the foregoing transcript is a true record
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of the testimony given by the witness. (Fed. R. Civ. P. 30(f)(1). Before completion of the deposition, review of the transcript [ X ] was [ ] was not requested. requested, any changes made by the deponent (and provided to the reporter) during the period allowed, are appended hereto. (Fed. R. Civ. P. 30(e)). Dated: FEBRUARY 24, 2015 al. Guterrey 

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	Authorization	1	
	CHRNGE		.00

TOTAL NUMBER OF ITEMS SULD - 1 12/16/13 12:05 PH 0460 14 0006 306

Your cashier today was KATHERINE Leave the cooking to us, we cater! www.wholefoodsmarket.com



EL CAMINO #475 1600 EL CAMINO REAL SOUTH SAN FRANCISCO, CA 94080 LW 0 ET 90-102232 MEMBER #111781324132 mmm VF American Express

XXXXXXXXXXXXXXXXX2002
07/11/13 16:01
Seq#: 006278 App#: 525123
American Express Resp: AA
Tran ID#: 319235100000
Merchant ID 99047511 SW-PED APPROVED - PURCH AMOUNT: \$38.56 0475 003 00000056 0283 CHANGE

TOTAL NUMBER OF ITEMS SOLO - 3 CASHIER: OSCAR E. REG\* 3 M/AIV/NE: 16:01 0475 03 0283 56



## **SAFEWAY** STORE MGR JIH TRUONG 415-665-4565 THANK YOU FOR SHOPPING WITH USI GROCERY COCA COLA CLASSIC CRV SFTDK SNGL TAX RegPrice Card Savings COKE ZERO CONTOUR CRV SFTDK SNGL TAX RegPrice 1.79 B .10 B 1,99 .20-1.79 B .10 B 1.99 Card Sayings .20-

REPRIGIFROZEN	
CREAM CHEESE: LARGE GRADE AA CHALLENGE BUTTER O ORGON CHALF & HAL TIT TAX VF DEBLY CARO CREATER CARO USE CARO CREATER CARO CHEESE: CHALF & CHA	2.99 F 2.69 F 3.29 F 1.99 F 15.07

CHANGE 7/05/13 12:07 0985 94 0074 8894

YOUR CASHILER TODAY WAS SELF

EMERSON AND	6969
Your Savings	
Card Savings	40
Iotal	.40
Total Savings Value	3%

As of today, you have accumulated 1 of 7 toward your Free Signature Cafe Sandwich!

WIND UNC VALUE COMMOTHER EUDENTCHICCA -

STORE HOR JIH TRUONG 415-665-THANK YOU FOR SHOPPING WITH US!

	· · · · · · · · .	
GROCES		
2 OTY CHICKEN CUBE Reafrice Card Savings	3.18 .68-	2 50 F
2 OIY BEEF CUBES Reaprice Card Savinsa	3.18 .68-	2.50 F
REFRIG/FR	OZEN	
CHEESE Rearrice acd Savings ORGANIC HUFSHLF	2.99	2 50 F
HEAT		•
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FRYER THIGHS FRYER THIGHS	1.375	•	5 73 F 1.92 F
	PRODUCE		
HEDIUM CELERY Resprice Card Savinss 0 59 to 8 \$1.	49/16	1 . 99 . 49-	1.50 F

M N SUT YEU ONION+ S Card Savings
U 0.71 lb 0 \$0.99 /lb
UT CARROTS

\*\*\*\* TAX 00 . 18-

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EHERSON ANG	6969
Card Savinas	
	2.52
lotal	2.62
Total Savinss Value	92

As of Ioday, you have accumulated I of 7 toward your Free Signature Cafe Sandwich!



SIDRE	HGR JI	TAUONG	415-665-4565
111	NK YOU	PUR SHOPP	ing with usi

GROCERY		
ResPrice , 1.49	. 00	F
Card Savines .49- HBC CRACKERS DYSTE 3	. 59	F
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EHERSON AND 6969		
Your Savings Card Savings Total Total Savings Value	49 49 4x	
As of today, you have accumulated to 7 toward your Free Signature Cafe Sandwich!	ژنر	

HOW WAS YOUR SHOPPING EXPERIENCE?

HOW WAS YOUR PLEASE SHARE WWW.GROCERYSJENTER TO VIN YOUR F-OUGHTS ONLINE:
JAVEY.NET

As of today, you have ac 1 of 7 toward your Free Signature Cale Eardwich!

Card Savings Total Total Savings W EYERSON ANG 6969 YOUR CASHIER IODAY WAS SELF l'alue 288

新 岩 CHANE 7/26/13 18:25 2505 53 C CKN WINTS BNLS

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TROLLIE SOUR SHITE
LAY'S OWN & AFFL
REAPPTICE
CARD SEVINGS
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CAY SETON SN.1. (AX
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COME ZERO)
CAY SETON SN.1. (AX

STORE MOR DAVE THANK YOU FOR SHIPPING

1.59



STORE HGR JIH TRUUNG 415-665-4565 THANK YOU FOR SHOPPING WITH US!

#### GROCEX\*

OKOCEK.		
IROLLIE SUUF MPITE SFY OYSIER JARLYER LAYS MSGT 180 K ResPrice 3.49	1 ;	ì
Card Savines .19- HEMS PERMUT	5 4,	•
3 GTY CHICKEN CURE ResPrice 4.77 Card Savinss 1.02-	3 15	ŧ
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COCA COLÁ SODA CRV SFYDK 6 PK TAX Reaprice 4.49	้	ł
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HR DSPSBL BAG CHARGE+ TAX 38 BAL VF DEBIT CARD	10 47.11 47.11	
CHANGE 8/25/13 14:37 0985 03 0230 366	.00	
YOUR CASHIER TOO ' WAS U		
EHERSON ANG 6965		
Your Savings		
Card Savings	4.94	
Total Total Savings Value	1.94 10X	

As of today, you have accumulated tof 7 toward your Free Signature Cafe Sandwicht

# SAFEWAY ()

STORE HGR JIH TRUONG 415-665-4565 THANK YOU FOR SHOPPING WITH US!

#### GROCERY

SFY APPLE JUICE + QUAKER CHEUY CHOC ResPrice Card Savinss	3.99 1.19-	2.79 F 2.50 F
REFRIG/FRO	ZEN	
AKERICAN SNG CHEODAR BAR HED +		4.99 F 3.99 F 3.79 F
BAKED GOO	DS	
FRENCH BAGUETTE		1.79 F
HEAT		
RR BF RNO STRIPS Resprice	5.67	4.64 F
Card Savinss FRYER THIGHS ResPrice Card Savinss	.93- 4.47 .34-	4.13 F
PRODUC	E	
GREGN BELL PEPPER.		1.00 F

# GREEN BELL PEPPER\* . 1.50 Card Savines . 50Hebium Celery + . 1.50 Card Savines . 493.58 ib @ \$1.29 / ib WI RUSSEI POTATOES . 4.62 F REO BELL PEPPERS + . 1.00 F ReoPrice . 1.50 Card Savines . 501.13 ib @ \$1.49 / ib WI JUMBO YLW ONIONS + . 1.68 F 0.83 ib @ \$0.99 / ib WI CARROIS + . 82 F \*\*\*\*\* TAX 00 BAL . 39.24 VF 0EBIT CARO . 39.24

	CHANGE					.00
8/20/13	20:09	0985	04	0425	5947	

YOUR CASHIER TODAY WA	IS EO
. /	
CHEROON DIG	969
EHERSON RNG	707
Vana Caulmaa	
Your Savings	
Card Savings	4.25
Total	4.25
Total Savinss Value	10%

As of today, you have accumulated 1 of 7 toward your free Signature Cafe Sandwich!

# SAFEWAY ()

STORE MGR JIM TRUONG THANK YOU FOR SHO	
GROCE	ĒRY
SFWY BAY LEAVES LAWRYS MARINADE RegPrice Card Savings	3.99 2.99 3.69 .70-
PRODU	ICE
1.72 1b @ \$1.49 /1b WT JUM80 YLW ON 1.09 1b @ \$0.99 /1b GARROTS **** TAX .0 VF DEBIT CARD CHANGE 7/12/13 17:19 0985	70NS • 22 56 1 08 10 62 10 62 10 62
YOUR CASHIER I	ODAY WAS SELF
EMERSON ANG	6969
Your Say	vinae
Card Savings Total Total Savings Value	. 70 . 70

As of today, you have accumulated

# EXHIBIT B

#### IN THE UNITED STATES DISTRICT COURT

#### FOR THE NORTHERN DISTRICT OF CALIFORNIA

#### SAN FRANCISCO DIVISION

ALEX ANG and LYNN STREIT, Individually and on behalf of all others similarly situated, Plaintiffs, Case No. 13 CIV. 1196 vs. (WHO) BIMBO BAKERIES U.S.A., INC., Defendant.

#### DEPOSITION OF LYNN STREIT

February 11, 2015

Hanna Kim, CLR, CSR No. 13083 388327

00+1+800 222 1231 Paris





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(916) 922-5777 Sacramento

(516) 277-9494 Garden City

(818) 702-0202 Woodiand Hilis

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IN THE UNITED STATES DISTRICT COURT
 1
            FOR THE NORTHERN DISTRICT OF CALIFORNIA
 2
 3
                     SAN FRANCISCO DIVISION
 4
 5
    ALEX ANG and LYNN STREIT,
    Individually and on behalf
    of all others similarly
 6
    situated.
 7
              Plaintiffs,
 8
              vs.
                                    Case No. 13 CIV. 1196
 9
                                    (WHO)
    BIMBO BAKERIES U.S.A.,
10
    INC.,
              Defendant.
11
12
13
14
             Videotaped deposition of LYNN STREIT,
15
             taken on behalf of the Defendant, taken
16
             at the law offices of Pratt & Associates,
17
             located at 1871 The Alameda, San Jose,
18
19
             California 95126, on Wednesday,
20
             February 11, 2015, beginning at
21
             10:20 a.m. to 5:49 p.m., before Hanna
22
             Kim, CLR, CSR No. 13083.
23
24
25
                                   2
```

#### Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 47 of 111

grain and you read the back of the label. 1 That's what 10:43 10:43 2 I can't say specifically. 10:43 3 Α. As you sit here today, you recall ever doing 10:43 4 that with respect to any product other than Sara Lee 10:43 5 whole wheat bread? 10:43 6 Looking at it, like looking at the back of the 10:43 7 label? 10:43 8 That's my question. 9 Q. 10:43 Α. Absolutely. 10:43 10 10:43 11 As you -- that made a claim that it was an excellent source of whole grain? That's what we're 10:43 12 narrowing the focus on. 10:44 13 10:44 14 That I'm not sure of because everybody Α. I see. uses different words. 10:44 15 You say "everybody uses different words." 10:44 16 10:44 17 What do you mean? 10:44 18 Different brands say different things on the 10:44 19 front that tout their benefits, if you will. 10:44 20 would all be worded differently. So I can't say for a fact that it's excellent source of whole grains. 10:44 21 22 Q. Well, do you recall reading the back of a 10:44 label for any product that made a statement other than 10:44 23 it had no added sugar, was an excellent source of whole 10:44 24

grain or was low or no fat?

10:44 25

Do you recall Sara Lee 100 percent whole wheat 12:49 1 bread ever being subject to a Safeway Club discount? 2 12:49 Not specifically. Α. 12:49 3 When you shopped at Safeway when your kids 12:49 4 Q. were living with you -- there is banging going on out 5 12:49 there -- did you try to pick items that were subject to 6 12:49 a Safeway Club card discount when you were shopping? 7 12:49 If there was something comparable, if it 12:49 8 didn't -- if it was something I would have chosen 12:49 10 anyway. 12:49 If it was something you would have chosen 11 12:49 anyway, you would buy it if it was subject to a club 12 12:49 12:49 13 card discount? 14 Α. Yes. It would be a bonus. 12:49 All right. So you said that you bought Sara 15 12:50 Lee 100 percent whole wheat bread because it was 12:50 16 100 percent whole wheat, because it was soft and 12:50 17 because your children liked it and because you could 12:50 18 19 buy it at Safeway. Were there any other reasons --12:50 Excuse me. 12:50 20 Α. -- that you bought Sara Lee 100 percent whole 12:50 21 wheat bread? 22 12:50 Because it said it was an excellent source of 12:50 23 Α. whole grain. 12:50 24

12:50 25

Q. Why was that important to you?



12:50	1	A. It's healthier.
12:50	2	Q. How do you know that?
12:50	3	A. I just do.
12:50	4	Q. Has anyone ever told you that something that's
12:50	5	an excellent source of whole grains is healthy for you?
12:50	6	A. It's all over TV, magazine covers, it's
12:50	7	Q. Starting with has anybody told you that?
12:50	8	A. No.
12:50	9	Q. Have you read any articles that indicated that
12:50	10	something that's an excellent source of whole grains is
12:51	11	healthy for you?
12:51	12	A. Maybe sitting in a doctor's office, but I,
12:51	13	again, can't cite anything specifically.
12:51	14	Q. Yeah, I'm not interested in in something
12:51	15	that's theoretical. I want to know whether you recall
12:51	16	as you sit here today
12:51	17	A. No.
12:51	18	Q whether you ever read any article that
12:51	19	indicated to you that something that was an excellent
12:51	20	source of whole grains was healthy for you.
12:51	21	A. Are you asking me to cite an article? I'm
12:51	22	I'm confused, because this is the kind of information
12:51	23	that's just out there. Unless you're living under a
12:51	24	rock, you know that whole grain is good for you.
12:51	25	Q. Sure. I'm not asking, at this point

12:51 1 let's --2 Α. Okay. 12:51 -- establish that you actually remember seeing 12:51 3 an article, I would ask for information about it. 12:51 4 right now I want to find out where you got this 12:51 5 information from other than the fact that it's out 12:51 6 So we already established that you can't recall 12:51 7 having anybody tell you that. So now I'm wondering 12:51 8 whether you ever read it in an article. 12:51 9 I can't recall. Α. 10 12:52 Okay. Can you recall seeing any television 12:52 11 programming about something that's an excellent source 12 12:52 13 of whole grain being healthy for you? 12:52 Again, yes, but I can't say what program, news 12:52 14 Α. programs, morning programs, yeah. 12:52 15 Do you recall, as you sit here today, any 12:52 16 particular program conveying that information to you? 12:52 17 Specifically, no. Α. 12:52 18 Do you know what constitutes something being 12:52 19 Ο. 12:52 20 an excellent source of whole grain? 12:52 21 Α. No. 12:52 22 Do you know what amount of whole grain in a particular product you want to eat? 12:52 23 12:52 24 Α. No. When you were shopping for Sara Lee 12:52 25 Ο.

# Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 51 of 111

*		Г	
	12:52	1	100 percent whole wheat bread, was there a particular
	12:52	2	amount of whole grain that you were hoping the bread
	12:52	3	had?
	12:53	4	A. No.
	12:53	5	Q. When you were shopping for whole wheat bread,
	12:53	6	between 2008 and 2012, was there any particular amount
	12:53	7	of or type of whole grain that you wanted to eat?
	12:53	8	A. No.
	12:53	9	Q. What other foods with whole grains in them did
	12:53	10	you purchase for your children?
	12:53	11	A. I think bread is the main source. I can't
	12:53	12	think of anything specifically.
	12:53	13	Q. Was Milton's an excellent source of whole
	12:53	14	grains, to your knowledge?
	12:53	15	A. Probably. That's my perception. How it
	12:53	16	yeah, that's my perception.
	12:54	17	Q. Okay. Why did you have that perception?
	12:54	18	A. It it has, like I said, all the nuts and
	12:54	19	seeds and it just looks healthy.
	12:54	20	Q. I'm not asking whether it's healthy
	12:54	21	A. And it tastes like it, too.
	12:54	22	Q. Why does it taste like it's healthy?
	12:54	23	A. I don't know. That's a good question. I
	12:54	24	don't know.
	12:54	25	Q. I'm not asking whether it it was healthy.

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12:54	1	I'm asking whether it was an excellent source of whole
12:54	2	grains in particular.
12:54	3	A. I'm not an expert, but I feel like it is.
12:54	4	Q. Because it had the nuts and seeds on it
12:54	5	A. Mm-hmm, correct. Oh. Yes.
12:54	6	Q. What nuts are whole grains, to your knowledge?
12:54	7	A. I have no idea.
12:54	8	Q. What seeds are whole grains, to your
12:55	9	knowledge?
12:55	10	A. I would assume any seeds, but, again, I don't
12:55	11	know.
12:55	12	Q. What do you understand a whole grain to be?
12:55	13	A. A nut that's made into a flour. That's and
12:55	14	I could be completely wrong, but that's my
12:55	15	Q. And so you understand a whole grain to be a
12:55	16	nut?
12:55	17	A. No, that doesn't make sense. I'm thinking of
12:55	18	the seeds on the outside of the bread. No, because
12:55	19	wheat is not a nut. So I don't know.
12:55	20	Q. Do you have an understanding of what a whole
12:55	21	grain is, ma'am?
12:55	22	A. Nope.
12:55	23	Q. The bagels that you bought at Safeway, were
12:55	24	those Safeway bagels?
12:55	25	A. At Safeway? No, it was a variety. It would

#### Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 53 of 111

```
-- Hill and then Target/Cos- -- Lucky.
01:22
     1
               Q.
01:22
     2
               Α.
                    Yes.
               Q.
                    Is that fair?
01:23
     3
               Α.
01:23
     4
                    Yes.
                   And, again, you don't have any estimate for me
01:23
     5
               Q.
         with respect to how much you spent at all those stores
01:23
     6
         on any baked products, correct?
01:23
                   No.
               Α.
01:23
     8
                    That's not correct?
     9
               Q.
01:23
               Α.
                    I mean -- oh, sorry. No, I don't have an
01:23
    10
         estimate.
01:23
    11
01:23 12
               Q.
                    Thank you.
                    Did you ever return any of the Sara Lee
01:23 13
         products that you purchased?
01:23
    14
                    I don't think so.
               Α.
01:23
    15
                   Did you ever return any of the Thomas'
01:23 16
01:23 17
         products that you purchased?
                    I don't think so.
    18
               Α.
01:23
                    Your family ate those products after you
01:23
    19
         purchased them?
01:23 20
                   Until I threw away what was left, yes.
01:23
    21
               Α.
                   And you threw it away because it had become
01:23
    22
               Q.
01:24
    23
         stale --
               Α.
    24
                    Yes.
01:24
               Q.
                    -- or moldy or was otherwise --
01:24 25
                                          126
```

		- 1	
	02:11	1	Q. Does anybody in your family, including
3	02:11	2	yourself, have a soy allergy?
ı	02:11	3	A. No, not that I know of.
	02:11	4	Q. What products have you bought, to your
	02:11	5	knowledge, with soy in them?
	02:11	6	A. When I had the yogurt shop, there was a new
	02:12	7	product out called Tofutti, and it was made from soy.
	02:12	8	Q. Other than Tofutti, have you ever purchased
	02:12	9	any soy products or products in that include soy?
	02:12	10	A. Not that I recall.
	02:12	11	Q. Have you ever
	02:12	12	A. Excuse me, fresh edamame. That's soybeans,
	02:12	13	right? Okay.
	02:12	14	Q. Anything else?
	02:12	15	A. No.
	02:12	16	Q. Have you ever checked any label of any food
	02:12	17	product that you've bought to make sure that it did not
	02:12	18	have soy in it?
	02:12	19	A. No.
	02:12	20	Q. The Sara Lee bread products that you bought
	02:12	21	when your kids were living with you, did you also eat
	02:12	22	those or were those just for your kids?
	02:12	23	A. I ate a minimal amount compared to my kids.
	02:12	24	Q. Well, that's just necessarily true. But what
	02:12	25	do you mean by "minimal amount"?

1 positives. 02:32 What other statements led you to those 02:32 2 products? 02:32 3 Baked fresh daily. Α. 02:32 4 02:32 5 Q. Any other statements? Α. Not specifically. 02:33 6 Do you have any reason to believe that Sara 7 Q. 02:33 02:33 8 Lee 100 percent whole wheat bread is not 100 percent whole wheat? 02:33 9 Α. Yes. 02:33 10 11 Ο. What is that based on? 02:33 I have found out, since I brought this to 02:33 12 Α. Pierce's attention, that there's other things in it. 02:33 13 14 There's soy and other products that make it not 02:33 15 100 percent whole wheat, in my opinion. 02:33 What about soy makes it not 100 percent whole 16 02:33 wheat? 02:33 17 Just the very fact that it's in there. It's a Α. 02:33 18 19 soy flour, not a wheat flour. 02:33 20 0. Do you understand that soy is a grain? 02:33 But it's not whole wheat. 02:33 21 Α. 22 Q. That wasn't my question. 02:33 Sorry. To answer your question, yes. 02:33 23 Α. Yes. Do you -- do you understand that soy is a 02:34 24 Q. grain? 02:34 25

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02:41	1	A. No.
02:41	2	Q. Let's start with that.
02:41	3	A. Okay.
02:41	4	Q. Then we can get into why it was mislabeled.
02:41	5	A. No.
02:41	6	Q. So as you sit here today, you can't recall any
02:41	7	instance in which you refused to buy a product because
02:41	8	it was mislabeled, correct?
02:41	9	A. Correct.
02:41	10	Q. Okay. What leads you to believe that Sara Lee
02:42	11	100 percent whole wheat bread is not an excellent
02:42	12	source of whole grains?
02:42	13	A. Since I brought this matter to the attention
02:42	14	of this office, I found out that it does not meet
02:42	15	certain FDA and other guidelines to be qualified as
02:42	16	100 percent whole wheat.
02:42	17	Q. My question is hundred is an excellent
02:42	18	source of whole grain.
02:42	19	A. Excellent source of whole grain. Sorry.
02:42	20	Wrong one.
02:42	21	Q. So what led you to believe that the product
02:42	22	had was not an excellent source of whole grains?
02:42	23	A. What led me to believe?
02:42	24	Q. Yes.
02:42	25	MR. GORE: I'm going to object to the question

# Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 57 of 111

	02:43	1	A. Yes.
	02:43	2	Q. Okay. Do you know what products do provide an
	02:43	3	excellent source of whole grains?
	02:43	4	A. No.
Í	02:43	5	Q. Have you ever bought any products that are an
	02:43	6	excellent source of whole grains?
	02:43	7	A. I would assume so, but I can't specifically
	02:43	8	identify them.
•	02:43	9	Q. And I asked you this before, but let's just be
	02:44	10	clear. You don't know what amount of whole grains
	02:44	11	would constitute an excellent source of whole grains,
	02:44	12	correct?
	02:44	13	A. No, I do not know.
	02:44	14	Q. Okay. And you don't same questions for
	02:44	15	good source of whole grain. Have do you know what
	02:44	16	constitutes a good source of whole grains?
	02:44	17	A. I do not.
r	02:44	18	Q. Do you know whether you have purchased any
	02:44	19	products that are a good source of whole grains?
	02:44	20	A. I would assume, but I do not know
L	02:44	21	specifically.
	02:44	22	Q. And those products would be the same products
	02:44	23	you told me about earlier, which are the the bread
	02:44	24	with the seeds on the outside?
	02:44	25	A. That's correct.

Q. What bread would you have bought instead of 02:45 1 Sara Lee 100 percent whole wheat bread that would have 02:46 2 been a good source of whole grains? 02:46 3 Α. I don't know. 02:46 4 Do you know whether that product would have 02:46 5 ο. been less expensive than the Sara Lee product? 02:46 6 I have no idea. 7 02:46 How much did you pay for any Sara Lee bread 02:46 8 product? 9 02:46 Α. No idea. 02:46 10 How much did you pay for any Entenmann's 02:46 11 product? 02:46 12 Α. Don't know. 02:46 13 Do you have any documents that indicate that 02:46 14 15 you bought any Sara Lee products? 02:46 I don't save grocery receipts. 02:46 16 Do you have any documents that indicate that 02:46 17 Ο. you bought any Entenmann's products? 18 02:46 02:46 19 Α. Same thing; no. 02:46 20 Do you have any -- let's just try to shortcut Did you -- do you have any documents anywhere 21 02:46 that indicate that you ever purchased any Bimbo 02:46 22 Bakeries products? 23 02:47 24 Α. No. 02:47 Exhibit 1 lists a number of other products 02:47 25 Q.

03:31	1	Q. The first page of the classic 100 percent
03:31	2	whole wheat pictures shows a it says it's heart
03:31	3	healthy. Do you have any reason to believe that this
03:31	4	isn't heart healthy?
03:31	5	A. No.
03:31	6	Q. Do you have any reason to believe that this
03:31	7	bread does not provide a good source of whole grain?
03:32	8	A. Now I do.
03:32	9	Q. What do you mean?
03:32	10	A. Because you're asking you're asking what I
03:32	11	think now.
03:32	12	Q. Okay. I'm asking whether you have any reason
03:32	13	to believe that this bread does not provide a good
03:32	14	source of whole grain.
03:32	15	MR. GORE: I'll object only to the extent
03:32	16	THE WITNESS: I'm so confused.
03:32	17	MR. GORE: that your question calls for
03:32	18	THE WITNESS: Sorry.
03:32	19	MR. GORE: communications protected by the
03:32	20	attorney-client privilege.
03:32	21	Otherwise, you may answer.
03:32	22	THE WITNESS: I have come to find out that the
03:32	23	claims are not entirely true.
03:32	24	BY MR. GOODMAN:
03:32	25	Q. Did you find that out from your lawyer?

## Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 60 of 111

<b>^</b>	Ca	ISE 4:13-CV-01196-HSG Document 121-2 Filed 04/06/15 Page 60 of 111
03:32	1	A. Yes.
03:32	2	Q. Did you find that out from any other source?
03:32	3	A. No.
03:32	4	Q. Do you know how the claims made, that it's a
03:32	5	good source of whole grain, are not true? That's just
03:33	6	a yes or no.
03:33	7	A. No. It's getting too scientific.
03:33	8	Q. Do you know whether this classic 100 percent
03:33	9	whole wheat bread provides whole grains that are a
03:33	10	less than a good source?
03:33	11	A. Specific whole grain, no.
03:33	12	Q. Do you know if this is a poor source of whole
03:33	13	grains?
03:33	14	A. I do not know.
03:33	15	Q. Do you know if it's an excellent source of
03:33	16	whole grains?
03:33	17	A. Again, don't know.
03:33	18	Q. The next set of pictures is for a Boboli
03:33	19	original crust. Can you keep these in order, please?
03:33	20	A. Well, I pulled out the ones that are
03:33	21	pertinent
03:33	22	Q. Yeah, I know.
03:33	23	A. Sorry.
03:33	24	Q. Can you put them back in order?
03:34	25	A. Yes. Yes. Okay. I can do that. Okay. Got

## Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 61 of 111

03:39	1	A. No. There was not that expectation with
03:39	2	those.
03:39	3	Q. Do you know whether any other donuts brand
03:39	4	named donuts sold in a store are baked fresh daily?
03:39	5	A. I don't know.
03:39	6	Q. Do you know whether any brand bakery goods
03:39	7	sold in a store are bake baked fresh daily?
03:39	8	A. Brand bakery goods, meaning outside of the
03:39	9	internal bakery within the store?
03:39	10	Q. Yes, ma'am.
03:39	11	A. I do not know.
03:39	12	Q. Have you ever sought out any brand bakery
03:39	13	goods those are brands that are outside of the store
03:39	14	brand that say "baked fresh daily" on them?
03:39	15	A. Not specifically.
03:40	16	Q. Other than the labels that you just identified
03:40	17	in these pictures, have you provided or do you have in
03:40	18	your possession, custody or control, any other
03:40	19	packaging related to Bimbo Bakeries products?
03:40	20	A. No.
03:40	21	Q. Other than the products that you purchased and
03:40	22	gave to Mr. Gore, did you ever purchase any Bimbo
03:40	23	Bakeries products that you did not actually use as a
03:40	24	consumer?
03:40	25	A. Not that I know of. And let me clarify one

# Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 62 of 111

05:17	1	products have been represented to have a characteristic
05:17	2	that they don't actually have?
05:17	3	A. I believe so.
05:17	4	Q. Okay. What characteristic?
05:17	5	A. One hundred percent whole wheat.
05:17	6	Q. Anything else?
05:17	7	A. Excellent source of whole grain.
05:17	8	Q. Anything else?
05:18	9	A. Good source of whole grain.
05:18	10	Q. And we talked about your understanding about
05:18	11	those terms
05:18	12	A. Right.
05:18	13	Q before. Is there anything else you want to
05:18	14	add to your testimony about that?
05:18	15	A. About?
05:18	16	Q. Your understanding of what a hundred percent
05:18	17	whole wheat is or should be.
05:18	18	A. I can't think of anything else.
05:18	19	Q. What about source of whole grains? Is there
05:18	20	anything you want to add to your testimony about that?
05:18	21	A. Other than my confusion about what a grain is.
05:18	22	I think I inadvertently referred to soy as a grain.
05:18	23	It's a bean, soybean. So I clarified buying soybeans.
05:18	24	So soy is not a whole grain.
05:18	25	Q. Okay. Anything else?

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```
MR. GOODMAN:
                                   Same objections.
05:27
     1
                   THE WITNESS:
05:27
     2
                                   Many years.
         BY MR. GORE:
05:27
     3
                   Approximately beginning when?
05:27
     4
               Q.
                   MR. GOODMAN:
                                   Same objections.
                                                        Calls for
05:27
     5
         speculation.
                         Lacks foundation.
     6
05:27
     7
                   THE WITNESS:
                                   When my kids were young, so say
05:27
         2000.
     8
05:27
         BY MR. GORE:
05:27
     9
05:27
    10
               Q.
                   Okay. Now, when you purchased this product
    11
         for personal consumption, did you read and rely on any
05:27
         parts of the label on this package?
    12
05:27
               Α.
                   Yes.
    13
05:27
                   What --
    14
               Ο.
05:28
               Α.
                   The front.
    15
05:28
                   Okay. Did you read and rely on any specific
    16
               Q.
05:28
         parts on the front of this package?
05:28
    17
                   Def-
    18
               Α.
05:28
                   MR. GOODMAN:
                                  Overbroad.
                                                 Lacks foundation.
05:28
    19
         It's also leading.
05:28
    20
                   THE WITNESS:
                                  Definitely, 100 percent whole
    21
05:28
         wheat and good source of whole grain.
05:28
         BY MR. GORE:
05:28
    23
                           I'm going to ask you to take my pen,
                   Okay.
    24
05:28
05:28 25
         and I want you to circle the parts of the label on this
```

05:28	1	package that you read and relied on when making your
05:28	2	purchase decision, please.
05:28	3	Okay. Thank you. Now, what you've circled
05:28	4	here is a part of the label that says "100 percent
05:29	5	whole wheat. My question is, when you read that on the
05:29	6	label, what did it mean to you?
05:29	7	MR. GOODMAN: Asked and answered.
05:29	8	THE WITNESS: That bread was made from
05:29	9	100 percent whole wheat flour.
05:29	10	BY MR. GORE:
05:29	11	Q. You also circled "good source of whole grain."
05:29	12	When you read and relied on that part of the label,
05:29	13	what did it mean to you?
05:29	14	MR. GOODMAN: Asked and answered.
05:29	15	THE WITNESS: Exactly what it says, that it
05:29	16	was a healthy choice, good source of whole grain.
05:29	17	BY MR. GORE:
05:29	18	Q. When you purchased this this product for
05:29	19	consumption by you and your family, did you turn it
05:29	20	over every time and read the ingredient list?
05:29	21	A. No.
05:29	22	Q. Why not?
05:29	23	A. Because the front told me what I needed to
05:29	24	know.
05:29	25	Q. How did reading these parts of the label

#### Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 65 of 111

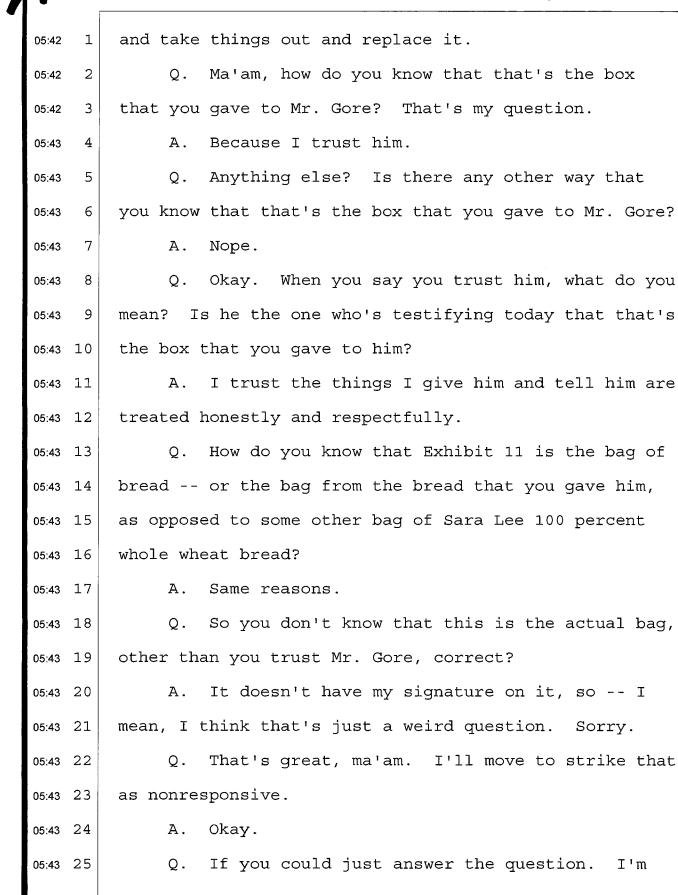
```
particular Bimbo product?
05:33
     1
                   MR. GOODMAN:
                                  Leading.
05:33
     2
                   THE WITNESS:
                                  No.
05:33
     3
         BY MR. GORE:
     4
05:33
                   Are all of the products that are the subject
05:33
     5
     6
         of your claims in this lawsuit products that you
05:33
         purchased for years for consumption by yourself and for
05:33
     7
05:33
     8
         your family?
                   MR. GOODMAN:
                                  Leading.
                                             Misstates testimony.
05:33
     9
                   THE WITNESS:
                                  Yes.
05:33 10
05:33 11
         BY MR. GORE:
                   I want you to take my pen and I want you to
    12
05:33
         circle on Exhibit No. 12 the portions of the label that
05:33 13
05:33 14
         you read and relied on when you purchased Sara Lee
         100 percent whole wheat bread, please.
05:34 15
                                        And you've circled two
05:34 16
                          Thank you.
   17
                   One a "100 percent whole wheat." When you
05:34
         read and relied on that portion of the label -- strike
05:34 18
         that.
05:34 19
    20
                   Did you read and rely on that portion of the
05:34
         label when you decided to purchase Sara Lee 100 percent
05:34
    21
         whole wheat bread?
    22
05:34
05:34 23
              Α.
                   Yes.
05:34 24
                   When you read that portion of the label, what
         did it mean to you?
05:34 25
```

# Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 66 of 111

05:34	1	A. That the bread was made with 100 percent whole
05:34	2	wheat flour.
05:34	3	Q. And the other thing that you circled, that
05:34	4	it's an excellent source of whole grain
05:34	5	A. Yes.
05:34	6	Q did you read and rely on that portion of
05:34	7	the label?
05:34	8	A. Yes.
05:34	9	Q. What did that mean to you?
05:34	10	A. That it's an even better source of whole grain
05:34	11	than the other bread, so that it's an excellent source.
05:34	12	Q. Okay. Do you know the difference between the
05:34	13	legal requirements for bread to be labeled "excellent"
05:34	14	or "good source of whole grain"?
05:35	15	A. I have no idea.
05:35	16	Q. Okay. How did reading these portions of the
05:35	17	label affect your purchase decision?
05:35	18	A. They affected greatly.
05:35	19	The these two also affected it. However,
05:35	20	we're not I'm not arguing this.
05:35	21	Q. Okay. Okay.
05:35	22	A. So
05:35	23	MR. GOODMAN: Anything else you want to point
05:35	24	her to?
05:35	25	THE WITNESS: I couldn't hear that.



05:41	1	lawsuit?
05:41	2	A. For the packages to be corrected.
05:41	3	Q. Are you seeking any money?
05:41	4	A. No.
05:41	5	Q. Are you seeking any money on behalf of the
05:41	6	class members in this case?
05:41	7	A. I think that's part of I mean, I think
05:41	8	that's what happens. I think people should get some
05:41	9	sort of a refund for the packages they purchased.
05:41	10	Q. How much of a refund?
05:41	11	A. That's not up to me. I don't know.
05:42	12	MR. GORE: That's all I have.
05:42	13	FURTHER EXAMINATION
05:42	14	BY MR. GOODMAN:
05:42	15	Q. I have some follow-up on that, Ms. Streit.
05:42	16	A. Yes.
05:42	17	Q. How do you know that Exhibit 13 is the Softees
05:42	18	box that you gave to Mr. Gore as opposed to some other
05:42	19	box of Softees?
05:42	20	A. Well, I brought it in to him, so
05:42	21	Q. How do you know you brought in that box,
05:42	22	ma'am, is my question, as opposed to another box?
05:42	23	A. Well, the expiration date looks like it's in
05:42	24	the right time frame, but I don't even know. I mean,
05:42	25	any anything is possible. Someone can break in here



# Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 69 of 111

1		
05:43	1	not asking for your opinion as to my question. I'm
05:43	2	asking you whether you know that this bag, Exhibit 11,
05:43	3	is the bag of bread that you gave to Mr. Gore, as
05:44	4	opposed to a different bag from a loaf of 100 percent
05:44	5	whole wheat Sara Lee bread.
05:44	6	A. Yes.
05:44	7	Q. You know that that's the same bag?
05:44	8	A. Same reasons.
05:44	9	Q. How do you know?
05:44	10	A. Same reasons.
05:44	11	Q. How do you know, ma'am?
05:44	12	A. Because I gave it to him. I I'm sorry.
05:44	13	I'm finding this argumentative, too.
05:44	14	Q. Are you objecting to my question, ma'am?
05:44	15	A. Can I do that?
05:44	16	Q. No.
05:44	17	Do you know did you make any markings on
05:44	18	the bag that you gave to Mr. Gore?
05:44	19	A. I did not.
05:44	20	Q. Did you make any note of the bag that you gave
05:44	21	to Mr. Gore to determine that this very bag is the bag
05:44	22	of bread that you gave to him?
05:44	23	A. I did not.
05:44	24	Q. Okay. So other than the fact that you trust
05:44	25	Mr. Gore, how do you know that this is the very bag

#### Case 4:13-cv-01196-HSG Document 121-2 Filed 04/06/15 Page 70 of 111

1 that you gave to Mr. Gore? 05:44 2 Same thing. 05:44 You don't. There's no other reason other than 05:44 3 Ο. you trust him, correct? 05:44 5 Α. I guess, yeah. 05:44 05:44 6 Q. Okay. Same answers --He can't lie. 05:45 7 Α. -- for Exhibit 12? 05:45 8 Ο. 9 Α. Yeah, yes. 05:45 Okay. Is there any wheat flour in the bread 10 Q. 05:45 that was in either Exhibit 11 or Exhibit 12 that was 05:45 11 other than whole wheat flour? 05:45 13 A. Yes. Okay. What wheat flour was in Exhibit 11 that 05:45 14 Q. was something other than whole wheat flour? 05:45 15 Soy flour. Can I look at the label and make 16 05:45 sure it's the right one? 17 Q. I'm asking you, ma'am --05:45 18 Α. Okay. 05:45 19 05:45 20 If you'd listen to my question. wheat flour in Exhibit 11, the bread that was in 05:45 21 Exhibit 11, other than whole wheat flour --05:45 22 Α. Oh. 05:45 23 -- to your knowledge? 05:45 24 Q. I do not know. Α. 05:45 25

.	•
1	DEPOSITION OFFICER'S CERTIFICATE
2	
3	STATE OF CALIFORNIA }
4	COUNTY OF CONTRA COSTA }
5	
6	I, <u>Hanna Kim</u> , hereby certify:
7	I am a duly qualified Certified Shorthand
8	Reporter in the State of California, holder of
9	Certificate Number CSR $13083$ issued by the Court
10	Reporters Board of California and which is in full force
11	and effect. (Fed. R. Civ. P. 28(a)).
12	I am authorized to administer oaths or
13	affirmations pursuant to California Code of Civil
14	Procedure, Section 2093(b) and prior to being examined,
15	the witness was first duly sworn by me. (Fed. R. Civ.
16	P. 28(a), 30(f)(1)).
17	I am not a relative or employee or attorney or
18	counsel of any of the parties, nor am I a relative or
1,9	employee of such attorney or counsel, nor am I
20	financially interested in this action. (Fed. R. Civ. P.
21	28).
22	I am the deposition officer that
23	stenographically recorded the testimony in the foregoing
24	deposition and the foregoing transcript is a true record
- 25	/ / /
	300

of the testimony given by the witness. (Fed. R. Civ. P. 30(f)(1). Before completion of the deposition, review of the transcript  $[_{XX}]$  was [ ] was not requested. Ιţ requested, any changes made by the deponent (and provided to the reporter) during the period allowed, are appended hereto. (Fed. R. Civ. P. 30(e)). Dated: February 26, 2015, 

# EXHIBIT C

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1	This transcript has not been proofread or
2	corrected. It is a draft transcript, NOT a
3	certified transcript. This draft may contain
4	computer-generated mistranslations of stenotype
5	code, resulting in inaccurate or nonsensical
6	word combinations, or untranslated stenotype
7	symbols which cannot be deciphered by
8	non-stenotypists. Corrections will be made in
9	the preparation of the certified transcript,
10	resulting in differences in page and line
11	numbers, punctuation and formatting.
12	
13	YOUR REQUEST OF A ROUGH DRAFT CONSTITUTES AN
14	ORDER FOR THE FINAL CERTIFIED TRANSCRIPT AND IS
15	SUPPLIED TO YOU ON THE CONDITION THAT UPON
16	RECEIPT OF THE CERTIFIED TRANSCRIPT, THIS DRAFT
17	AND ANY COPIES THEREOF WILL BE DESTROYED. THE
18	CERTIFIED TRANSCRIPT IS THE ONLY OFFICIAL
19	TRANSCRIPT WHICH MAY BE RELIED UPON FOR PURPOSES
20	OF VERBATIM CITATION OF TESTIMONY.
21	
22	Daria L. Romano
23	Registered Professional Reporter
24	Certified Realtime Reporter
25	UNITED STATES DISTRICT COURT

0401caswell NORTHERN DISTRICT OF CALIFORNIA 1 2 SAN FRANCISCO DIVISION 3 Civil Action No. 13 Civ. 1196 HSG(NC) 4 5 ALEX ANG and LYNN STREIT, Individually and on behalf of all others similarly situated, 6 7 Plaintiffs, 8 ٧. 9 BIMBO BAKERIES USA, INC., Defendant. 10 11 DEPOSITION OF DR. JULIE A. CASWELL, a 12 witness called by and on behalf of the 13 14 Defendant, taken pursuant to the applicable rules of civil procedure, before Daria L. 15 Romano, Registered Professional Reporter, 16 Certified Realtime Reporter and Notary Public in 17 and for the Commonwealth of Massachusetts, at 18 19 O'Brien & Levine Court Reporting Services, 195 State Street, Boston, Massachusetts, on 20 21 wednesday, April 1, 2015, commencing at 9:54 22 a.m. to 1:17 p.m. 23 24 25 1 APPEARANCES: 2 3 BARRETT LAW GROUP, P.A. 4 (by Brian Herrington, Esq.) Page 2

404 Court Square North 5 6 Lexington, MS 39095 7 (662) 834-2488 8 bherrington@barrettlawgroup.com 9 for the Plaintiffs. 10 HOGAN LOVELLS US LLP 11 12 (by David W. Skaar, Esq.) 13 1999 Avenue of the Stars, Suite 1400 Los Angeles, California 90067 14 (310) 785-4600 15 16 david.skaar@hoganlovells.com for the Defendant. 17 18 19 20 21 22 23 24 25

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INDEX
Deposition of: Page
DR. JULIE A. CASWELL
By Mr. Skaar

EXHIBITS
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Page 3

```
But that's not my question. I'm going
22
           Q.
      to make a motion to strike as nonresponsive.
23
24
                You mentioned in your list of
25
      nutrients things like fat, things like vitamins,
                                                      37
      right?
 1
 2
                Mm-hmm.
           Α.
                Iron, fiber, whole grains are not on
 3
           Q.
 4
      that list, are they?
 5
           Α.
                That's true.
 6
                Let's go to paragraph 10 of your
 7
      declaration on page three. You say consumers
      are economically harmed if the information they
 8
 9
      reline such as product labeling is false or
10
      misleading. Do you see that in the first
11
      sentence?
12
           Α.
                Yes.
                So that sentence assumes that in order
13
           Q.
      to be harmed, you have to rely on product
14
15
      labeling; is that right?
16
           Α.
                Yes.
                And so it follows that if someone does
17
      not rely on products labeling, they are not
18
19
      harmed, right?
20
           Α.
                Yes.
                And you'd agree generally that if you
21
22
      eat a product, you do get some value from it,
23
      right?
                   MR. HERRINGTON: Object to the
24
25
      form.
                          Page 32
```

4	
$\wedge$	
4.	38
1	A. How would you define value?
2	Q. I'll give you some examples. You get
3	the enjoyment of the products, the taste of the
4	product?
5	A. Yes.
6	Q. You get certain nutrients from the
7	product?
8	A. Yes.
9	Q. You get the feeling of satiety in your
10	appetite, right?
11	A. Yes.
12	Q. And those things have some value,
13	right?
14	MR. HERRINGTON: Object to the
15	form.
16	A. Yes.
17	Q. So how when you have someone who in
18	your words is harmed by labels on a product, how
19	do you measure that? How do you measure how
20	they're harmed?
21	A. You measure how they're harmed by what
22	their alternative choice could have been. So

23

24

25

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are they buying a product that is not -- does

not have the quality characteristics or quality

attributes that they think it has, in which case

relied on these labels, right?

8

11

Q.

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9 Not these particular labels, but Α. there's much research showing that consumers use 10 11 labels. I understand what the research shows, 12 13 what I'm asking is whether you've seen any 14 survey evidence or any other evidence that specifically indicates that consumers in 15 California read and relied on these labels? 16 I've not seen a specific study related 17 to these particular labels. 18 And you didn't do such a study in this 19 case, right? 20 21 Α. I did not. Okay. In the last sentence of 22 Q. 23 paragraph 10 you give an example of a consumer who's following a specific diet. Do you 24 understand -- do you have an understanding of 25 42 whether that is an issue for either of the two 1 2 named plaintiffs in this case? 3 Α. No. You don't know specifically if either Q. 4 one of them were on a specific diet, right? 5 6 Α. I do not. 7 Okay. Do you know if any particular Q. 8 consumers were unable to stay on a particular diet because of the labels in this case? 9 10 Α. No.

And there's no -- you are not aware of

Page 36

5 Q. So let's go down to paragraph 21 wh	)	paragraph Zi wr	το	aown	go	ieτ's	SO	Q.	)
--	---	-----------------	----	------	----	-------	----	----	---

- 6 begins on page five and goes over into page six.
- 7 In this paragraph you talk about how a
- 8 certification system can improve the information
- 9 that's available to consumers, right?
- 10 A. Yes.
- 11 Q. And you say it can do that if the
- 12 certification system is reliable, right?
- 13 A. Yes.
- Q. Do you have any opinion regarding the
- 15 reliability of the AHA heart check certification
- 16 program?

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- 17 A. I haven't looked at it. I presume
- 18 given the organization that it is a reputable
- 19 certification.
- 20 Q. You don't have any reason to believe
- 21 it's not reliable, right?
- 22 A. That's true.
- Q. And here reliability until this
- 24 context, you say it's particularly important for

77

25 credence attributes because consumers can't

dudus than familianas Tura indulato

- judge them for themselves, right?
- 2 A. Yes.
- 3 Q. And so its reliability is an issue
- 4 because here we are appropriate talking about
- 5 labeling that converts credence attributes into
- 6 search attributes, right?
- 7 A. Yes.

5 Yes, my general knowledge from the 6 past being aware of this claim that it's a paid 7 endorsement. 8 Ο. Have you worked on other cases involving the American heart association heart 9 10 check mark? I think there were one or two, yes. 11 Α. 12 Q. Do you remember what those were? 13 I don't off the top of my head. Α. 14 Were they cases in California? Q. 15 Yes. Α. 16 Were they cases where you were Q. 17 disclosed as an expert witness? 18 Yes. Α. And did those cases involve whether 19 Ο. 20 the AHA heart check mark was a paid endorsement? I don't recall. 21 Α. Have you read any of the American 22 Q. heart association's literature on the heart 23 24 check mark program?

A. No.

25

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Q. Would it surprise you if the literature indicates that the payment is for an administrative fee to cover administrative costs for the program?

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A. No.

Q. And it's not your opinion, right, thatyou can just give the American heart association

0401caswell 8 money in exchange for putting this mark on your 9 product regardless of your product's nutrition 10 qualities, right? MR. HERRINGTON: Object to the 11 12 form. Right, yes. So we've addressed that I 13 believe it's a reliable certification. 14 15 Which means that there are criteria 16 that your product must meet in order to qualify 17 for it, right? 18 Α. Yes. 19 Q. Okay. Do you know if there are any 20 products on the market that say that the 21 American heart association heart check mark is a 22 paid endorsement on the label? 23 Α. I don't know that. Are you rendering an opinion in this 24 Q. case regarding whether excellent source of whole 25

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- 1 grain or good source of whole grain are
- 2 misleading statements?
- 3 My opinion is that they are material Α.
- 4 to consumers.

- 5 So the answer is no, that you are not
- 6 rendering an opinion on whether those are false
- 7 and misleading, right?
- 8 Α. Right, correct.
- 9 Okay. Are you rendering an opinion in
- 10 this case as to whether the 100 percent whole
- wheat products contain false and misleading 11 Page 76

1 Α. No. 2 And a consumer's needs -- so a 0. 3 consumer's needs would be a factor in 4 determining what part of the labels are material

5 to them, right?

6 Α. It's a factor in which what pieces of 7 information are important to them, but it's material information because it's available to 8 9 them in making their purchase decision.

0. 10 So how do you distinguish between 11 what's material and what's important?

I don't. 12 Α.

13

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They're the same, right? Q.

14 It's material if it is information that's available to the consumer to be used in 15 16 evaluating and buying the product.

Q. But you just testified that or correct me if I'm wrong, but you just testified that what is important to someone with respect to a particular label will depend on that consumer's needs for a particular product, right?

22 Α. Yes.

Q. 23 So it's material -- the same question,

24 really, what's material to a consumer will

25 depends on what their needs are for a particular

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1 product, right?

2 No. The piece of information is

material because it is available on the label, 3

it's available to influence the decision and 4 Page 82

- 5 it's material because of its placement and its
- 6 availability to consumers and it's not --
- 7 whether it's important to you doesn't make it
- 8 material or not material.
- 9 Q. So materiality has nothing to do with
- 10 what's actually influencing consumers to buy
- 11 products?
- 12 A. It has to do with the set of
- information that's available to consumers when
- 14 they're buying products.
- 15 Q. So when you say that a statement on a
- 16 label is material, you are not rendering an
- 17 opinion that that statement is actually
- 18 contributing to people buying the products,
- 19 right?

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- 20 A. It's contributing -- I'm saying that
- 21 it's contributing to the information that they
- 22 have available to them in deciding whether to
- 23 buy the product.
- Q. But not whether anyone is actually
- 25 using the information to inform their buying

1 decisions?

2 A. Not whether any particular person is

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- 3 using that piece of information.
- 4 Q. Or even groups of people?
- 5 A. Well, several particular people would
- 6 make a group.
- 7 Q. Right. So the answer is no, that

I think we just might have talked 12 Q. 13 about the opposite con September. You talked 14 about an indication of materiality. I'm more focused on your definition of materiality and 15 16 how you come to the conclusion that something is material, and I think you explained before that 17 materiality is based on the information that's 18 19 available to consumers on a label, right? That's correct. 20 Α. 21 So materiality does not tell us Q. 22 whether an individual or group of individuals 23 actually read and rely on that label? 24 That's correct. Α. 25 Q. So let's talk about consumer needs a

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- 1 little bit more. If a person doesn't have a
- 2 particular need regarding, you know, the whole
- 3 great content of their products, you wouldn't
- 4 expect a statement such as good source of whole
- 5 grains to factor into that person's decision to
- 6 buy the product, would you?
- 7 A. I think that all consumers are
- 8 potentially interested in the whole grain status
- 9 of the product, so the labeling can communicate
- 10 to consumers who are particularly interested in
- 11 that quality attribute, but it can communicate
- and does communicate to the general consumer,
- 13 the whole class of consumers.
- 14 Q. What I've posed to you is a

5 Q. But the label does say that, right?

6 A. On the back panel or side panel.

7 Q. It says the product provides 14 grams

8 of whole grain, it says that on the panel right

9 below nutrition facts, right?

- 10 A. Yes, on the side or back panel.
- 11 Q. And we don't know which one, right?
- 12 A. Well, it appears to be the side.
- 13 Q. And we can't see based on this photo
- on the first page, it doesn't appear that this
- 15 depicts the entire loaf of bread, right?
- 16 A. I don't know what's down here, yeah.
- 17 Q. Okay. Are there any statements on
- 18 this label, going back to that classic
- 19 100 percent whole wheat label, are there any
- 20 statements on this label that you would consider
- 21 to be immaterial?
- 22 A. No.
- Q. So is it your opinion that every
- 24 statement that we can see in these photographs
- 25 is material?

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A. Yes.

Q. Is it your opinion that all statements
on all labels are material?

A. Yes.

MR. HERRINGTON: Object to the
form.

7 BY MR. SKAAR:

0401caswell 8 So have you ever -- in any of the Q. 9 cases that you've been disclosed as an expert 10 witness involving food labeling, have you ever concluded that a food label is immaterial? 11 12 Α. No. 13 Q. Can you imagine a situation in which you would conclude that a label that appears on 14 a food product is immaterial? 15 16 MR. HERRINGTON: Objection. To clarify soy we have a clear record, when you say 17 label, are you talking about the totality of the 18 19 label or particular label statement. 20 MR. SKAAR: I'm talking about the 21 totality of a label. 22 MR. HERRINGTON: Okay. You can 23 answer. 24 I have not seen one to date. Α. 25 You've not seen any statement on a Q. 132 1 food label that you would consider to be 2 immaterial; is that right? 3 Α. Correct. 4 Q. Okay. And it's your opinion that 5 people would compare products that say good or 6 excellent source of whole grain with products 7 that do not, right? 8 Α. Yes. 9 And, again, that would be products within the same family, similar products, right? 10 Not necessarily. I mean, when people 11 Α. Page 116

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<b></b>		146
1	Q.	Have you ever seen Bimbo Original
2	Toasted B	read?
3	Α.	No.
4	Q.	Have you ever seen any photos of it?
5	Α.	No.
6	Q.	So you have no idea what it looks
7	like?	
8	Α.	No.
9	Q.	And you don't know how Bimbo toasted
10	bread is	typically used by people, right?
11	Α.	I don't.
12	Q.	All right. How many cases in how
13	many case	s have you rendered an opinion where
14	the opini	on's been disclosed in court on the
15	materiali	ty of food labels? Do you know?
16	Α.	Yes. 24.
17	Q.	Do you maintain a list of such cases
18	somewhere	?
19	Α.	Yes.
20	Q.	Have you provided it to us in this
21	case?	
22	Α.	No.
23	Q.	It's not part of your CV, right?
24	Α.	NO.
25	Q.	Okay. Did any of those cases involve

155

1	I'd like to mark that as the next exhibit in the
2	line?
3	A. Okay.
4	(Exhibit 38 marked
5	for identification)
6	Q. Dr. Caswell, looking at this now, I
7	see the first four pages appear to be
8	photographs and then the last three pages appear
9	to be a depiction of the labels for Thomas'
10	bagel thins, correct?
11	A. Yes.
12	Q. Where did you get the artwork
13	depictions of the labels?
14	A. From Brian Herrington.
15	Q. Okay. Aside from these photographs
16	and artwork that we've just marked as exhibits
17	in this case, have you seen photographs or
18	artwork for any of the other Bimbo products at
19	issue in this case?
20	A. No.
21	Q. Okay. So this is the totality of what
22	you've reviewed specific to the products at

Q. Other than the work that you've done

issue in this case?

Yes.

Α.

23

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0401caswell 15 16 I have read the foregoing transcript of my 17 18 deposition, and except for any corrections or 19 changes noted above, I hereby subscribe to the 20 transcript as an accurate record of the 21 statements made by me. 22 Executed this \_\_\_\_\_day of \_\_\_\_\_\_, 2015. 23 24 DR. JULIE A. CASWELL 25 161 1 COMMONWEALTH OF MASSACHUSETTS) 2 SUFFOLK, SS. ) 3 4 I, Daria L. Romano, RPR, CRR and Notary 5 Public in and for the Commonwealth of 6 Massachusetts, do hereby certify that there came 7 before me on the 1st day of April, 2015, at 9:54 a.m., the person hereinbefore named was duly 8 9 sworn by me and that such deposition is a true 10 record of the testimony given by the witness. 11 I further certify that I am neither related 12 to nor employed by any of the parties or counsel 13 to this action, nor am I financially interested in the outcome of this action. 14 In witness whereof, I have hereunto set my 15 16 hand and seal this day of April, 2015. 17 18

19	
20	Notary Public
21	My Commission Expires
22	February 20, 2020
23	
24	
25	

# **EXHIBIT D**

## Certification Mark License Agreement Food Products

This Agreement is made between the American Heart Association. Inc. (hereinafter "AHA"), a New York not-for-profit corporation having its principal offices at 7272 Greenville Avenue. Dallas, Texas 75231, and BBU, Inc., and its US subsidiaries (hereinafter "Company"), a corporation organized under the laws of the State of Pennsylvania, whose principal place of business is 255 Business Center Drive, Horsham, Pennsylvania 19044.

WHEREAS, the AHA is a non-profit organization dedicated to the reduction of disability and death from cardiovascular disease and stroke; and

WHEREAS, Company desires to display on certain of its products and/or their packaging and related materials that such products comply with an applicable standard, guideline or criteria of the AHA.

NOW THEREFORE, in consideration of the mutual promises contained herein, and for other good and valuable consideration, the parties agree as follows:

1 Tem: This Agreement shall begin on, January 15, 2010, ("Effective Date") and shall continue in effect for a term of one year or until twelve (12) months following the expiration of all Product Schedule(s) executed under the terms of this Agreement, whichever later occurs, unless terminated earlier as set out in Section 9. On the Effective Date of this Agreement, any prior Certification Mark License Agreement or Retailer Agreement that may still be in effect are hereby terminated and all Product Schedules in effect under such prior agreement shall continue in effect and be subject to the terms and conditions of this Agreement.

### 2 <u>Definitions</u>:

- 2.1 "Certification Mark" is defined as the American Heart Association's heart-check certification mark which is registered with the U.S. Patent & Trademark Office, registration no. 2,102,356, which is used to indicate that a product complies with an applicable AHA standard, guideline or criteria.
- 2.2 "Food Certification Program Application Packet" or "program Application Packet" is defined as the collection of information, forms and application materials provided by the AHA to food companies to advise them of the AHA's Food Certification Program requirements relating to nutritional criteria, fees, graphic standards and other requirements.
- 2.3 "Product" is defined as a specific food whose Product Name, Flavor, Nutrition Facts label, and/or Ingredient List combination represents product differentiation or uniqueness. Products with differences in Brand Name or Form only, where the Nutrition Facts label and the Ingredient List are the same, may qualify for the Branded Commodities or Brand/Form Variation Only rates.
- 2.4 "Product Schedule(s)" are agreements, in the form of Attachment A, which identify the Product(s) on which Company may display the Certification Mark pursuant to paragraph 4.2 of this Agreement. Each executed Product Schedule forms a separate

agreement, with respect to the Product(s) listed therein, and is subject to the terms and conditions of this Agreement, lists the specific standard, guidelines or criteria which the Product(s) must meet, and any additional terms applicable to such Product(s).

- Food Certification Program Requirements: In addition to the requirements set out in this Agreement, Company and each of the Product(s) must comply with the AHA's Corporate Relations Policy, and with the nutritional criteria, fee, and graphic standards requirements set out in the Program Application Packet in effect when the Product Schedule for such product is signed. The Corporate Relations Policy, nutritional criteria, fee and graphic standards requirements are incorporated by reference into the Product Schedule, and such requirements may be amended by the AHA at any time. The AHA will give Company one hundred and twenty (120) calendar days written notice of any change to these requirements. Such requirements include, but are not limited to, the following:
  - 3.1 The Product(s) must comply with nutritional criteria.
  - 3.2 All Product packaging and promotional materials, including all package sizes and UPC and all revisions thereto; must be reviewed and approved by the AHA as required under this Agreement whether or not the heart check mark is displayed on the package.
  - 3.3 Company must submit to the AHA, for its review and approval, revised nutritional values for each Product that is reformulated.
  - 3.4 Company acknowledges and agrees that it is responsible for identifying the correct FDA or USDA food subcategory for each Product, or the main dish or meal designation, if applicable, and that the subcategory or designation will determine the AHA nutrition criteria to be applied to the Product. The AHA reserves the right to terminate the Product Schedule, or require Company to resubmit a Product(s), and with all applicable fees should its subcategory or designation be found to be in error.

#### 4 Certification Mark and License:

- 4.1 Company acknowledges the AHA's ownership of the Certification Mark. No title to or ownership of the Certification Mark, or any part thereof, is hereby transferred to Company. Company agrees that all uses of the Certification Mark shall inure to the benefit of the AHA and that Company shall acquire no rights, interests, or goodwill in the Certification Mark. Company agrees to not contest the validity of the AHA's rights and interests in the Certification Mark, including after the termination of this Agreement.
- 4.2 Use of the Certification Mark: The AHA hereby grants to Company a limited, non-exclusive, non-transferable license, only within the United States and its Territories and Possessions, to display the Certification Mark on the packaging of the Product(s) listed on a properly executed Product Schedule (a sample of the Product Schedule form is attached hereto as Attachment A) only so long as the Product(s) is in compliance with the applicable AHA nutritional criteria as set out in the then-current Program Application Packet, and to conduct promotions in radio, television, magazine and newspaper advertisements, biliboards, direct mail, company-generated coupons, trade or business-to-business promotions, consumer brochures, point-of-purchase promotions, Web sites, e-mails, public relations and press materials, and other media customarily used to reach consumers, excluding sweepstakes, contests, fund-raising and games of chance, featuring the AHA Certification Mark with those Product(s) listed

on the Product Schedule(s). The Certification Mark cannot be integrated into an educational message. The license for each Product shall be effective for the one (1) year period (twelve consecutive months) set out in the Product Schedule for that Product. Upon termination or expiration of the license, or upon the Product(s) ceasing to comply with the applicable AHA standard, guideline or criteria, Company shall cease: any and all use of the Certification Mark. Provided however that, so long as Company's use of the Certification Mark meets the requirements of this Agreement, and the Product is in compliance with the applicable standard, guideline or criteria in effect immediately prior to termination, then Company may continue to distribute such Product bearing the Certification Mark that has already been packaged and warehoused, for a period of one hundred twenty (120) calendar days following the date of termination of the license. However, advertising and promotional materials bearing the Certification Mark may not be distributed, air or appear more than sixty (60) calendar days following the date of termination or expiration of the license. If Company makes any use of the Certification Mark outside of the allowed period(s), then in addition to all other remedies allowed under this Agreement, Company shall be obligated to pay \$5,000 per month per infringing product, as liquidated damage and not as a penalty.

- 4.3 Any and all uses of the Certification Mark must conform to the "Packaging, Advertising, Promotions and Graphic Standards for the American Heart Association Food Certification Program" (hereinafter "Graphic Standards"), which are incorporated herein by reference. The Graphic Standards may be amended by the AHA from time to time and will become effective as to Company (i) upon one hundred twenty (120) calendar days written notice to Company, or (li) when Company submits new promotions or packaging materials for review, whichever comes first.
- 4.4 Additional rules for grocery retailer print ads. These rules apply only to print newspaper ads and print newspaper circulars issued by retail grocers for Product(s):
  - 4.4.1 Company will develop an ad slick, using the Graphic Standards that employs the Certification Mark in relation to the Product. The artwork is complete and boxed, so that the retailer may not use an entire unit of artwork and may not redesign or modify the artwork or text in the retail ad. The Certification Mark may not be floated on the ad slick it must be incorporated with the Product elements, boxed and used in its entirety. Company must state clearly on the ad slick that an entire piece of art must be used as a unit and retailer cannot change copy or artwork. Company must obtain the AHA's written approval of the ad slick prior to distribution to retail grocers. Company may authorize retail grocers to issue print newspaper ads or print newspaper circulars that match the ad slick, so long as they do not redesign or modify the artwork or text. Company must state clearly on the ad slick that an entire piece of art must be used as a unit and the retail grocer cannot change copy or artwork.
  - 4.4.2 Company will be fully responsible for any misuse of the Certification Mark by any third-party advertisers, including but not limited to retail grocers. If a retail grocer has violated any of these guidelines, including any applicable guidelines set out in the Program Application Packet, Company will revoke the retailer's authorization to issue print newspaper ads or issue print newspaper circulars based on the ad slick.

- 4.4.3 Company shall provide the AHA with tear sheets of all print ads that include the Certification Mark within thirty (30) calendar days following the date on which the ad was run.
- Review and Approval by the AHA: The parties acknowledge and agree that the placement and size of the Certification Mark, placement and size of text, use of recipes, as well as other matters regarding information conveyed to the public in conjunction with the Certification Mark and its graphics, must be consistent with the Graphic Standards and shall be subject to the prior review and written approval of the AHA. Company shall not proceed with any printing or distribution of packaging containing the Certification Mark until approval by the AHA of the final text and graphics has been given in writing and Company's materials are stamped "Final Approval" by the AHA. All other materials, including but not limited to Promotional Materials, must also be approved by the AHA prior to use. The AHA must review, prior to distribution, (i) any materials that reference a company's participation in the AHA Food Certification Program, whether or not the Certification Mark is used and (ii) all package sizes with UPC of certified Products, whether or not the Certification Program.

The AHA may give or withhold its approval under this Agreement in its sole and unfettered discretion, and based upon the AHA's science or positions on science, education and public health. Company acknowledges that the AHA shall have no liability to Company for costs and expenses resulting from the AHA's withholding of approval, or from its conditional approval. AHA's review of Company's materials does not relieve Company of Company's sole responsibility and liability for Company's advertising. All advertising prepared by Company in connection with this Agreement shall be in full compliance with Federal, state and local laws and regulations relating to the advertising, marketing and promotion of Company's products and shall in no respect be false, deceptive or misleading. If any such advertising, marketing or promotion should encounter any legal challenge or inquiry, Company shall defend the matter in question and shall pay any and all losses, liabilities, costs, actions, claims and other obligations, including attorneys' fees.

#### 6 Costs/Fees;

- 6.1 Company shall be responsible for any and all of its costs associated with the subject matter of this Agreement, including but not limited to the cost associated with testing requirements and representative sample uses of the Certification Mark and their graphics for the AHA's review.
- 6.2 Fees: Company shall pay a non-refundable annual fee per Product as set forth in the Fee Schedule(s) found in the Food Certification Program Application Packet. The Fee Schedule(s) shall include, but not be limited to, fees for both new Product(s) and renewals. These fees cover the AHA's costs of operating and promoting the Food Certification Program. The AHA reserves the right to amend the Fee Schedule(s) described herein upon one hundred and twenty (120) calendar day's written notice to Company. All fees must be paid in advance of Product approval and execution of the applicable Product Schedule. For a renewal Product(s), payment must be made by the due date stated on the Renewal Invoice.
- 7 Reservation of Rights: The AHA reserves all rights not expressly granted in this Agreement.
- 8 Warranties, Indemnification and Insurance:

- 8.1 Gompany hereby represents and warrants to the AHA that (a) the display on the Product(s) and/or promotional materials of the Certification Mark and (b) the processing, packaging, labeling, distribution and sale of such Product(s), comply with all applicable federal, state and local laws, regulations and government agency rules, including, but not limited to, food labeling laws. The foregoing warranties shall be deemed made continuously during the term of this Agreement.
- 8.2 Company hereby represents and warrants to the AHA that it is not a tobacco company, a tobacco company corporate subsidiary or parent. "Subsidiary" and "parent" are defined for purposes of this paragraph as an entity in which there exists a 5% or greater ownership by, or of, a tobacco company.
- 8.3 Company hereby agrees to Indemnify and hold harmless the AHA from and against any and all suits, actions, losses, damages, claims, or liability of any character, type, or description, including without limitation all expenses of settlement, litigation, court costs, and attorney's fees (collectively, "Liabilities"), directly or indirectly arising out of, or occasioned by, (a) Company's use or display of the Certification Mark, (b) any of the other activities contemplated by this Agreement, (c) the Product(s), their packaging, labeling, advertisements, related materials and activities; and including, without limitation, their design, formulation, manufacture, marketing and/or distribution, regardless of whether such were permitted or contemplated under this Agreement or approved by the AHA (d) violations or alleged violations of applicable food labeling laws, regulations and government agency rules in connection with the use of the AHA's name or Certification Mark, and (e) any breach of Company's obligations, representations or warranties hereunder. Company further agrees to defend, at its own expense and on behalf of the AHA, any such Liabilities. For purposes of this paragraph, the parties indemnified shall include the AHA, its officers, directors, members, agents and employees.
- 8.4 Company shall obtain and maintain at its expense, commencing at least thirty (30) calendar days prior to the date of commencement of distribution of any Product bearing the Certification Mark, insurance designating the AHA as an additional insured party from a qualified insurance carrier in the amount of \$5,000,000 for general liability, products liability and personal injury. This policy shall specify that it may not be modified or canceled by the insurer, except after thirty (30) calendar day's prior written notice by the insurer to the AHA. Prior to selling or distributing any Product bearing the Certification Mark, upon request, Company shall provide the AHA with a certificate of insurance. For purposes of this paragraph, the parties insured shall include the AHA, its officers, directors, members, agents and employees.
- 8.5 The AHA indemnifies Company and undertakes to hold it harmless against any final judgments arising from claims or suits arising from an infringement of any third party's rights within the United States in the Certification Mark if used by Company in a manner authorized hereunder, provided Company has given the AHA prompt written notice of all such claims or suits. The AHA shall have the option to undertake and control the defense and settlement of any such claim or suit and if, following reasonable notice and opportunity, the AHA fails to undertake such defense shall reimburse Company for reasonable counsel fees incurred by Company in defense of such a suit. No settlement of any such claim or suit may be made without the AHA's prior written consent.
- 8.6 THE AHA'S LIABILITY FOR ANY AND ALL CLAIMS ARISING UNDER THIS AGREEMENT, UNDER ANY LEGAL THEORY, SHALL NOT EXCEED THE AMOUNT

OF MONIES, IF ANY, PAID BY COMPANY TO THE AHA DURING THE TWELVE (12) MONTHS PERIOD PROCEEDING THE DATE ON WHICH A CLAIM IS MADE. UNDER NO CIRCUMSTANCES WILL THE AHA BE LIABLE FOR ANY COSTS, DAMAGES, LIABILITY OR EXPENSES ARISING FROM COMPANY'S PRINTING OF PACKAGING, PRODUCING ADVERTISING, OR PROMOTIONAL MATERIALS, WHETHER OR NOT THE AHA APPROVED SUCH MATERIALS.

#### 9 Termination:

- 9.1 AHA may terminate this Agreement and/or individual Product, or Product Schedules by written notice to Company:
  - A. Immediately, upon the breach of any term, condition, representation or warranty of this Agreement by Company, which is not cured within thirty (30) calendar days following receipt of written notice of the breach from AHA.
  - B. Immediately upon written notice by the AHA, without requirement for any cure period, if any three (3) test average of individual Product samples do not comply with the applicable AHA nutritional standard, guideline or criteria, and the discrepancy is in excess of twenty percent (20%) for any individual nutritional element.
  - C. Upon ninety (90) calendar days' prior written notice to Company, if any three (3) test average of individual Product samples do not comply with the applicable AHA nutritional standard, guideline or criteria and the discrepancy is equal to or less than twenty percent (20%) for any individual nutritional element.
  - D. Upon ninety (90) calendar days' prior written notice to Company, if a substantial portion (5% or more) of Company's veting common stock (or other equity ownership interest) is purchased or otherwise directly or indirectly acquired by a tobacco company or a tobacco company subsidiary, or if Company purchases, otherwise directly or indirectly acquires or otherwise becomes the owner of a substantial portion (5% or more) of the voting common stock (or other equity ownership interest) in a tobacco company.
  - E. Upon one hundred twenty (120) calendar days' prior written notice to Company in the event that the AHA elects to stop certifying products certified under the standard, guideline or criteria applicable to the Product.
- 9.2 The AHA may terminate individual Products and/or Product Schedules at any time upon thirty (30) calendar days' prior written notice to Company if the AHA reasonably and in good faith determines that (I) the Product(s) is materially deficient in quality or packaged in a misleading or deceptive manner; or (ii) the Product(s) no longer complies with the applicable AHA standard, guideline or criteria. Following termination under this subparagraph, Company may not make continued distributions under the provisions of subparagraph 4.2.
- 9.3 The AHA may terminate individual Products and/or Product Schedules immediately, without further notice, if the Product(s) do not comply with revised nutritional criteria. During the term of this Agreement, the AHA will provide Food Certification Program Product participants with one hundred and twenty (120) calendar day's written notice of changes to nutritional criteria. Upon expiration of the one hundred and twenty (120) calendar days, Company shall have three hundred and sixty (360) calendar days to

bring non-compliant certified Products into compliance with the changed nutritional criteria. Products submitted for certification after the mailing date of the notice letter, must comply with the changed nutritional criteria at the time of submission.

#### 10 Miscellaneous Provisions:

- 10.1 Notices: Company shall send copies of all notices to the AHA, by either, fax, overnight delivery or certified mail, return receipt requested, to it as follows: AMERICAN HEART ASSOCIATION, INC., 7272 Greenville Avenue, Dallas, Texas 75231, Attention: Certification Manager (or such other person as the AHA may designate in writing). The AHA shall send copies of all notices to Company, postage prepaid, to its principal place of business (or such other business address that Company may designate to the AHA in writing).
- 10.2 Food Safety: Company shall promptly notify the AHA of any report of contamination, poisoning or any other food safety issue involving any certified Product(s).
- 10.3 Force Majeure: Neither party shall be in default by reason of any failure of its performance under this agreement, if such failure results, directly or Indirectly, from fire, explosion, strike, freight embargo, act of God, or of the public enemy, war, civil disturbance, terrorism, act of any government, de jure or de facto, or agency or official thereof, labor shortage, transportation contingencies, unusually severe weather, quarantine restrictions, epidemic, or catastrophe. Any schedule or time for performance required under this Agreement shall be extended as necessary to overcome the effects of such force majeure.
- 10.4 Severability: If any provision of this Agreement is determined by a court of competent jurisdiction to be invalid or unenforceable, such determination shall not affect the validity or enforceability of any other part or provision of this Agreement.
- 10.5 Assignment: Neither party may assign or transfer its rights or obligations under this Agreement without the prior written agreement of the other party.
- 10.6 Walver: No waiver of any term, provision, or condition of this Agreement, whether by conduct or otherwise, shall be deemed to be, or shall constitute, a waiver of any other provision hereof; nor shall such waiver constitute a continuing waiver. No waiver shall be binding unless executed in writing by the party making the waiver.
- 10.7 Parties Named: Nothing in this Agreement, whether express or implied, is intended to confer upon any person, other than the parties identified herein, any rights or remedies.
- 10.8 Applicable Law: This Agreement shall be performed in Dallas, Dallas County, Texas and governed by the laws of the State of Texas without regard to its conflict of laws provisions.
- 10.9 No Joint Venture: Company and the AHA are neither partners nor joint venturers hereunder, and neither shall have any power to obligate or bind the other to third parties in any manner whatsoever.
- 10.10 Approvals: No approval by the AHA under this Agreement shall operate or be construed as an acknowledgment by the AHA of Company's compliance with any/all applicable federal, state and local laws, regulations and government agency rules; nor

is such approval a guaranty or warranty on the part of the AHA as to the quality or character of the Product to which such approval relates. No such approval shall be deemed an authorization of any liability for indebtedness or claims of damage whatsoever by any third party against the AHA.

- 10.11 Entirety: This Agreement, along with properly executed Product Schedule(s), constitute the sale and only agreement of the parties and supersedes any prior understandings or written or oral agreements between the parties respecting this subject matter. In the event of any conflict between the terms of this Agreement and the terms of any Product Schedule or other attachment, the terms of this Agreement shall prevail. This Agreement may only be modified by a written amendment signed by authorized representatives of each party.
- 10.12 Survival; Subparagraphs 4.1, 8.3, and any other terms and conditions required for the interpretation of this Agreement or necessary for the full observation and performance by each party hereto, shall survive termination of this Agreement.
- 10.13 Company will conduct not less than four (4) promotions each year that highlight the heart-check mark.

AGREED:

AMERICAN HEART ASSOCIATION, INC.	BBU, INC.
By Deuris Wilve	By: Unile K Begalix
Printed Name: Dennis Milne	Printed Name: Ann LB-201-
Title: Director, Food Certification Program	Title: VP byiness neelopapent
Date: 4/2//0	Date: 40/0

Attachments:

A: Sample Product Schedule

EXHIBIT E

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CONFIDENTIAL AHA-BIMBO-0000476

# **EXHIBIT F**

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1
    IN THE UNITED STATES DISTRICT COURT
 2
    FOR THE NORTHERN DISTRICT OF CALIFORNIA
 3
           SAN FRANCISCO DIVISION
 4
 5
 6
    ALEX ANG and LYNN STREIT,
7
    individually and on behalf of all
    others similarly situated,
 8
                          Plaintiffs,
 9
10
                 -against-
                                   13 Civ. 1196 (WHO)
11
12
13
    BIMBO BAKERIES, INC.,
                          Defendant.
14
15
16
                               March 11, 2015
                               10:07 a.m.
17
18
19
                 Deposition of DONALD M. MAY, taken by
20
           the defendant, pursuant to Notice, at the
           offices of Hogan Lovells US LLP, 875 Third
21
           Avenue, New York, New York, before David
22
           Levy, CSR, RPR, CLR, a Notary Public of the
23
           State of New York.
24
25
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2	APPEARANCES:
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18	mark.goodman@hoganlovells.com
19	
20	
21	
22	
23	
24	
25	
	2

1		May
2	before you lo	poked at the website?
3	Α. 5	The Thomas's, Sara Lee, some of the
4	bread product	cs.
5	Q. V	Were you familiar with those products
6	because you'	ve purchased them?
7	r	MR. SILVERMAN: Objection.
8	7	You can answer the question.
9	Α.	In some cases, yes.
10	Q. 3	In which cases?
11	A. V	Well, I guess the Thomas's I've
12	purchased, an	nd I should add one more there,
13	Entenmann's.	It's been a while, but I purchased
14	those in the	past.
15	Q. I	Do you know why you purchased the
16	Thomas's prod	ducts?
17	1	MR. SILVERMAN: Objection.
18	7	You can answer the question.
19	A. I	Most recently, it's because what my
20	wife buys for	r for the kids. So she's she'll
21	send me out s	sometimes.
22	Q. I	With a list?
23	Α	Yes.
24	Q. I	Do you know why your wife puts
25	Thomas's on l	ner grocery list?

25

1	May
2	MR. SILVERMAN: Objection.
3	If you know the answer.
4	MR. GOODMAN: That's why I asked him
5	if he knows.
6	A. She doesn't put it on the list.
7	She'll put bagels, but, you know, from seeing them
8	there, I've known, you know, seeing them in the
9	past, that those are the ones I figured out was
10	Thomas's.
11	Q. Those are the ones she wanted you to
12	buy?
13	A. Correct.
14	Q. Do you know why she wanted you to buy
15	those bagels? That's my question.
16	MR. SILVERMAN: Objection. He's not a
17	fact witness. He's an expert witness.
18	MR. GOODMAN: I know what he is.
19	Thanks.
20	A. Not specifically, no.
21	Q. Has your wife ever told you to buy
22	Thomas's bagels?
23	MR. SILVERMAN: Objection.
24	You can answer the question.
25	A. My recollection is that she doesn't

1	May
2	Q. Sure. What data would you consult in
3	order to determine whether there was a negative
4	impact for the "hundred percent whole wheat"
5	claim?
6	A. Again, we're measuring the impact,
7	positive or negative, based on the data of, you
8	know, when the labels came on and off, when the
9	change in the products over time. So we would be
10	able to identify whether that claim is zero,
11	statistically positive, or if it really was
12	negative.
13	Q. Dr. May, if the product was always
14	called "a hundred percent whole wheat" during its
14 15	called "a hundred percent whole wheat" during its entire existence, how would you determine whether
15	entire existence, how would you determine whether
15 16	entire existence, how would you determine whether any consumers did not buy the product because it
15 16 17	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?
15 16 17 18	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.
15 16 17 18 19	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.  A. I'm not sure why I would want to do
15 16 17 18 19 20	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.  A. I'm not sure why I would want to do that. Again, my the question I'm trying to
15 16 17 18 19 20 21	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.  A. I'm not sure why I would want to do that. Again, my the question I'm trying to answer is, what incremental or proportion of the
15 16 17 18 19 20 21 22	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.  A. I'm not sure why I would want to do that. Again, my the question I'm trying to answer is, what incremental or proportion of the total value of the product price is related to the
15 16 17 18 19 20 21 22 23	entire existence, how would you determine whether any consumers did not buy the product because it was called "a hundred percent whole wheat"?  MR. SILVERMAN: Objection.  A. I'm not sure why I would want to do that. Again, my the question I'm trying to answer is, what incremental or proportion of the total value of the product price is related to the "hundred percent whole wheat" claim.

1	May
2	a legal standpoint, has no value."
3	How do you have that understanding?
4	A. That's what I was informed by by
5	counsel.
6	Q. And again, Dr. May, as a matter of
7	economic principles, food has some value, correct?
8	MR. SILVERMAN: Objection.
9	A. Um yes. To some yes.
10	Definitely, food has value. I wouldn't argue with
11	that.
12	Q. Further on in paragraph 19, toward the
13	middle of that paragraph, it says, "These
14	third-party vendors include Information Resources,
15	Inc. (IRI), and Nielsen, who provide point-of-sale
16	retail price data."
17	Do you know whether that, or those
18	data give you the actual price paid for the
19	product?
20	A. My understanding is that they survey
21	and derive the total revenues and the units and
22	the size and then you would derive the price per
23	ounce or whatever unit you're looking at based on
24	that.
25	Q. My question, Dr. May, is, do you know

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1	May
2	price, which is sort of capturing the price growth
3	rate. And therefore, the coefficients in the
4	regression are capturing the percentage of that
5	price within that.
6	So I guess the shortest answer is, it's
7	mathematically derived by the way you construct
8	the model. And it's you know, I cited other
9	studies that had been scientifically approved that
10	had, sort of had done the same methodology.
11	Q. Have you gone so far as to construct a
12	model in this case?
13	MR. SILVERMAN: Objection.
14	A. No. Again, I would look at the data.
15	I need the data first.
16	Q. So at this point, you're not sure how
17	the sales data would allow you to calculate the
18	percentage of product value attributable to
19	illegal claims, correct?
20	MR. SILVERMAN: Objection.
21	A. No, I know how it would. I don't know
22	whether I'm going to find anything there, though.
23	It might show me that there was no value for this
24	claim.
25	Q. I mean, you say you know how you would

1	
2	CERTIFICATE
3	STATE OF NEW YORK )
4	: SS.
5	COUNTY OF KINGS )
6	
7	I, DAVID LEVY, CSR, a Shorthand
8	Reporter and Notary Public within and for
9	the State of New York, do hereby certify:
10	That DONALD MAY, the witness
11	whose deposition is hereinbefore set forth,
12	was duly sworn by me and that such
13	deposition is a true record of the testimony
14	given by the witness.
15	I further certify that I am not
16	related to any of the parties to this action
17	by blood or marriage, and that I am in no
18	way interested in the outcome of this
19	matter.
20	IN WITNESS WHEREOF, I have hereunto
21	set my hand this 25th day of March 2015.
22	
23	) and Ten
24	DAVID LEVY, CSR, RPR, CLR
25	
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